

# Fractional Calculus based Elasticity obtained from Homogenization

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Anomalous phenomena, such as diffusion in biological systems, scale-dependent plasticity in thin films, and electromagnetic wave propagation in complex media, often defy explanation by conventional theories rooted in integer-order calculus. Models based on fractional calculus have proven effective in capturing such behaviors, yet the fractional exponents they employ are typically introduced as empirical fitting parameters without grounding in the underlying physics. In this work, we propose that fractional differential equations can emerge naturally through homogenization of materials with complex microstructures, which are themselves the source of the anomalous behavior that necessitates a fractional description. Focusing on linear elasticity, we identify precise microstructural conditions that give rise to emergent fractional behavior. In the static regime, homogenization reveals that the order of the fractional derivative is directly linked to the power-law exponent of the microstructure’s autocovariance function. By connecting microscale structural variation to macroscale material response, our study opens new avenues for designing architected materials with tailored anomalous properties. The implications of this work extend broadly across physics, biology, materials science, and beyond.

## 1. Introduction:

Modeling material behavior and physical systems using fractional derivatives in their governing equations has garnered significant attention due to its remarkable ability to describe complex phenomena [1]. These include, for example, biological tissues, electrical properties of nerve cell membranes, arterial viscoelasticity [2–6]; Lomnitz’s law and seismic attenuation in geophysics [7, 8]; the fractional Schrödinger equation [9]; fractional Fick’s law for diffusion [10]; and fractional viscoelastic models in multiscale, fractal, and porous media [6, 11–19], to name a few. Since the foundational work of Torvik and Bagley [6], the modeling of complex media using fractional calculus has been widely pursued across disciplines, proving highly effective in explaining phenomena that defy classical theory and in predicting novel behaviors [1, 5, 20–24].

A fractional differential equation is inherently nonlocal. For example, the *fractional Laplace equation* in  $d$  spatial dimensions with the spatial variable  $\mathbf{x} \in \mathbb{R}^d$  can be written as:

$$(-\Delta)^{s/2}u(\mathbf{x}) = f(\mathbf{x}), \quad (1.1)$$

where  $0 < s < 2$  and  $(-\Delta)^{s/2}$  denotes the fractional Laplacian. This operator may be expressed in its integral form as:

$$(-\Delta)^{s/2}u(\mathbf{x}) = C_s \text{P.V.} \int_{\mathbb{R}^d} \frac{u(\mathbf{x}) - u(\mathbf{y})}{|\mathbf{x} - \mathbf{y}|^{d+s}} d\mathbf{y}, \quad (1.2)$$

where P.V. denotes the Cauchy principal value and  $C_s$  is a normalization constant that appears in the definition of the fractional Laplacian [25] (see Appendix B for more details on fractional Laplace operators). This should be contrasted with the conventional Laplace equation, which is purely local in nature. Such

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25 distinctions highlight why fractional calculus has emerged as a powerful framework for modeling nonlocality,  
 26 memory effects, and long-range interactions in complex media [1, 11, 19, 22, 26, 27].

27 Mechanics, notably, has a rich tradition of incorporating nonlocal behavior into its theoretical foundations.  
 28 Early nonlocal elasticity theories, such as those developed by Kröner and Eringen [28, 29], sought to capture  
 29 long-range cohesive forces and their effects on wave dispersion—features absent in classical elasticity.  
 30 Another means of introducing (weak) nonlocality is via higher-order derivatives of field variables. Theories  
 31 of higher-order strain gradient elasticity, originating from the seminal works of Toupin and Mindlin [30–34],  
 32 have gained popularity for their ability to model size-dependent phenomena across elasticity, plasticity, and  
 33 related subfields.

34 However, a key limitation of these gradient theories is that they are grounded in integer-order calculus  
 35 and consequently predict effective macroscopic properties that scale with integer powers of intrinsic length  
 36 scales. In contrast, fractional-order models, which are inherently nonlocal, have found considerable success  
 37 in describing materials where classical theories fall short [22, 35–38]. A compelling example lies in the  
 38 plasticity of thin films, where experimental data show that the yield strength scales with a *fractional* power  
 39 of film thickness; behavior not explained by integer-order models. Fractional plasticity models [37] account  
 40 for this by relating the fractional order of the derivative directly to the observed scaling exponent.

41 Despite the widespread adoption of fractional calculus in modeling anomalous behavior, the physical  
 42 origin of fractional operators in material models remains poorly understood. Often, integer-order derivatives  
 43 are simply replaced with their fractional counterparts, and the exponent is treated as a fitting parameter. This  
 44 might be adequate for reproducing empirical trends but lacks predictive power. This empirical approach  
 45 leaves unanswered a key question: *Which specific microstructural features give rise to fractional behavior,*  
 46 *and how do changes in microstructure affect the fractional model parameters?*

47 One theoretical pathway involves starting from an energy functional incorporating long-range interac-  
 48 tions. This is typically modeled to decay with a power-law characterized by a fractional exponent. Variational  
 49 methods then lead to Euler–Lagrange equations that naturally contain fractional derivatives, thereby ratio-  
 50 nalizing the emergence of fractional laws and size-dependent effects. In essence, nonlocal interactions at  
 51 the microscale, especially those decaying with a power-law, can lead to macroscopic models governed by  
 52 fractional differential equations. Separately, homogenization is known to yield effective nonlocal behav-  
 53 ior in composite materials, and several studies have proposed nonlocal (or nonlocal adjacent) constitutive  
 54 stress–strain relations via this route [39–50]. However, classical homogenization [51, 52] is typically limited  
 55 to periodic or random microstructures with rapidly (e.g., exponentially) decaying correlations, and it often  
 56 assumes a slowly varying mean field <sup>1</sup>.

57 In this work, we directly address the underlying mechanism by which fractional derivatives emerge in  
 58 material models. Specifically, we ask: *What forms of microstructural randomness produce a fractional-*  
 59 *order response in the homogenized constitutive relations?* Our central contribution is to demonstrate that  
 60 *fractional behavior can emerge purely from classical linear elasticity* at the microscale, without invoking  
 61 any pre-assumed nonlocal interactions, provided the microstructure has a *power-law correlation*. This  
 62 result provides an explanation for the origin of fractional constitutive behavior and establishes a direct link  
 63 between the microstructural statistics and the order of the fractional derivative. In a recent work [55],  
 64 using a statistical mechanics framework for modeling plasticity, the authors obtained the fractional exponent  
 65 dictating the size-effects in thin film plasticity behavior by linking it to long-range microscopic interactions.  
 66 In contrast, the present work makes no such assumptions on microscopic interactions and thus provides a  
 67 stronger fundamental principle for the origin of fractional calculus-based material models. A highlight of  
 68 this work is the linking of material microstructure to the emergent fractional order (fractional exponent) of

<sup>1</sup> We emphasize that the homogenization framework adopted in this work is the ensemble-averaged, finite-body framework of Willis [39], rather than the classical asymptotic homogenization framework. In classical asymptotic homogenization, under uniform ellipticity of the moduli and standard scale-separation assumptions, the leading effective response is local; in small-contrast expansions, the second-order correction is also local and depends on the microstructure through two-point statistics. Spatially nonlocal homogenized limits generally require more singular settings, such as high-contrast media or loss of uniform ellipticity, including media containing voids or effectively rigid phases [47, 53, 54]. By contrast, in Willis’ formulation, the effective constitutive law is defined through ensemble-averaged fields on a bounded body subject to specified boundary conditions. The resulting effective constitutive operator need not be local on this bounded domain. Consequently, the effective response in this framework can depend on the size and shape of the body, as well as on the imposed boundary conditions.

69 the governing equations. Thus, the proposed principle has implications beyond elasticity and can be extended  
 70 to problems in conductivity, electromagnetism, viscoelasticity etc. for exploring emergent fractional models  
 71 that could potentially lead to novel design strategies.

72 In the static setting, our effective constitutive law is derived using Willis' framework with a perturbative  
 73 expansion in the elastic contrast (retaining terms up to second order). We show that when a two-phase random  
 74 microstructure governed by classical linear elasticity is homogenized, it yields an effective fractional model  
 75 if the phase correlation (or autocovariance) function exhibits a power-law decay. The order of the fractional  
 76 derivative is directly linked to the exponent in this correlation. The resulting effective modulus exhibits  
 77 fractional size dependence (dependent on the fractional exponent of the size)—a finding that is highly relevant  
 78 to recent studies on thin-film plasticity and size-dependent mechanical behavior [37, 38, 55]. The fractional  
 79 size effect is specifically demonstrated for a simplified example of anti-plane elasticity. The emergent  
 80 fractional constitutive law is also shown for the more general case of a linear 3D isotropic heterogeneous  
 81 medium. In fact, we argue that, in general, we can obtain a fractional calculus-based description for any  
 82 convolution-type nonlocal integral with a power-law kernel. This appears to be a fundamental new result  
 83 that we have not found in the literature.

#### 84 1.A. Map of the paper

85 The paper is structured to move from microstructure statistics to effective constitutive laws, and then to the  
 86 two principal outcomes: (i) emergent fractional behavior, i.e., effective or macroscopic constitutive laws that  
 87 contain fractional derivatives, and (ii) fractional size effects, i.e., effective property scaling with a fractional  
 88 power of the feature size of the body. We begin in Section 2 by defining the two-phase random medium and  
 89 introducing the statistical descriptors (indicator functions, probability functions, and the autocovariance)  
 90 that encode the microstructural information used throughout the homogenization. Section 3 then sets up  
 91 the elasticity problem and outlines the perturbation-based Willis framework that converts these statistical  
 92 descriptors into ensemble-averaged (effective) field equations. Building on this, Section 4 derives the  
 93 effective stress–strain relation in an explicit nonlocal operator form, making clear where microstructural  
 94 correlations enter the macroscopic constitutive response.

95 In Section ??, we specialize the general nonlocal effective law to the *static* anti-plane setting, where the  
 96 displacement is scalar, and the strain is  $\epsilon = \nabla u$ . In this simplifying reduction, the effective constitutive  
 97 response can be written as a *nonlocal operator* acting on the mean strain: a local (size-independent) part  
 98 plus a correlation-induced correction that admits an explicit representation in terms of fractional operators.  
 99 In particular, for a power-law autocovariance tail  $\chi(r) \sim r^{-2\eta}$  with  $\eta \in (0, 1)$ , the nonlocal correction  
 100 takes an isotropic form built from a linear combination of fractional Laplacians and their derivatives, which  
 101 schematically is

$$\boldsymbol{\mu}_* = \langle \mu \rangle \mathbf{I} + \mathbf{L}_{\text{loc}} + \text{const} \times \left( 3 \nabla \nabla (-\Delta)^{-(1-\eta)} + \mathbf{I} (-\Delta)^\eta \right),$$

102 thereby making transparent how the microstructural correlation exponent fixes the fractional orders appearing  
 103 in the effective operator.

104 Using the above operator form, we then isolate the fractional (nonlocal) contribution and perform a  
 105 scaling analysis on a bounded specimen (e.g.,  $\Omega = \Omega_R$ ). By prescribing a representative mean strain inside  
 106  $\Omega_R$  that is geometrically self-similar<sup>2</sup> and extending it by zero outside, the fractional operators yield an  
 107 explicit algebraic dependence on the domain size  $R$ . This leads to a fractional size-effect: the nonlocal  
 108 contribution to the mean stress scales as

$$\langle \boldsymbol{\sigma} \rangle(\mathbf{x}) = \underbrace{(\langle \mu \rangle \mathbf{I} + \mathbf{L}_{\text{loc}})}_{\text{local contribution}} \langle \boldsymbol{\epsilon} \rangle + \text{const} \times R^{-2\eta} \underbrace{\boldsymbol{\Theta}(\mathbf{x}/R)}_{\text{nonlocal contribution}},$$

<sup>2</sup> That is, the shape stays the same as the domain is scaled

109 so that the magnitude of the emergent nonlocal correction decays like  $R^{-2\eta}$  while the spatial variation enters  
 110 only through some function  $\Theta(\mathbf{x})$  of the dimensionless ratio  $\mathbf{x}/R$ . Section 6 analyzes the more general case  
 111 of 3D linear isotropic elasticity to obtain the emergent fractional behavior that is much more complex than  
 112 the simple example of anti-plane shear.

113 We close with a brief conclusion highlighting implications and extensions. Technical background on the  
 114 statistical correlation functions and the fractional operator machinery used in the analysis is collected in the  
 115 Appendices for reference.

## 116 2. The microstructure description

117 We begin by describing the heterogeneous or composite microstructure considered in this work, and detail  
 118 some of the important terms characterizing the micro-structure that will be relevant to the analysis in  
 119 the following section. We remark that the content of this section is not original and is summarized for  
 120 completeness to make this work self-contained.

121 Consider a statistically homogeneous two-phase random medium occupying a finite (bounded) domain  
 122  $\Omega \subset \mathbb{R}^d$  (with  $d \geq 1$ ), with random distribution of pure phases  $i = 1, 2$ . When Fourier methods over  $\mathbb{R}^d$   
 123 are used later, we interpret them either by extending ensemble-averaged fields by zero outside a bounded  
 124  $\Omega$  (so the relevant fields are compactly supported) or, if  $\Omega = \mathbb{R}^d$  is used as an idealization, in the standard  
 125 tempered-distribution sense. Let  $\phi_i$ ,  $\mathbf{L}_i$ , and  $\rho_i$  denote the volume fraction, elastic modulus, and mass  
 126 density of the  $i^{\text{th}}$  phase, respectively. Here,  $\mathbf{L}_i$  denotes a fourth-order tensor with components  $L_{klmn}^{(i)}$   
 127 ( $k, l, m, n = 1, \dots, d$ ). The  $i^{\text{th}}$ -phase occupies a volume  $\Omega_i \in \Omega$ , and  $\Omega = \bigcup_{i=1}^2 \Omega_i$ . The 2-phase random  
 128 medium is drawn from a sample space  $\mathcal{P}(\alpha)$  with some probability measure  $p$ , and the specific micro-  
 129 geometry of any one sample depends on the parameter  $\alpha$ . The micro-geometry of a particular realization  
 130  $\alpha$ , can be modeled by the indicator (also called characteristic) functions  $\mathcal{I}_i(\mathbf{x}; \alpha)$  of the respective phases,  
 131 where  $i = 1, 2$ , denotes the  $i^{\text{th}}$  phase, and  $\mathcal{I}_i(\mathbf{x}; \alpha) = 1$  if  $\mathbf{x} \in \Omega_i$ , otherwise 0.

### 132 2.A. Covariance function: Statistical description of the micro-geometry

133 For a given  $\mathbf{x}$ ,  $\mathcal{I}_i(\mathbf{x}; \alpha)$  is a random variable. In general, it is impossible to obtain  $\mathcal{I}_i(\mathbf{x}; \alpha)$  for all possible  
 134 realizations  $\alpha$  of the random medium. For any meaningful analysis we need to use certain "mean" quantities  
 135 that can be realistically obtained. The derivations presented in this section follow closely to those in the  
 136 book by Torquato [56]. Here, the mean is the ensemble average taken over possible realizations  $\alpha$ . One such  
 137 mean quantity is the one-point correlation function denoted by  $S_1^{(i)}(\mathbf{x})$  (also called the one-point probability  
 138 function) which gives the probability that phase  $i$  is found at the point  $\mathbf{x}$ . For the phase  $i$  it is defined as the  
 139 ensemble mean of the indicator function

$$S_1^{(i)}(\mathbf{x}) = \langle \mathcal{I}_i(\mathbf{x}; \alpha) \rangle, \quad (2.1)$$

140 where  $\langle \cdot \rangle$  denotes the ensemble average:

$$\langle (\cdot) \rangle = \int_{\mathcal{P}(\alpha)} (\cdot) p(\mathrm{d}\alpha).$$

141 A 2-point probability (correlation) function  $S_2^{(i)}(\mathbf{x}_1, \mathbf{x}_2)$  for the  $i^{\text{th}}$  phase gives the probability of finding  
 142 phase  $i$  at points  $\mathbf{x}_1$  and  $\mathbf{x}_2$ , and can be obtained from the relation

$$S_2^{(i)}(\mathbf{x}_1, \mathbf{x}_2) = \langle \mathcal{I}_i(\mathbf{x}_1; \alpha) \mathcal{I}_i(\mathbf{x}_2; \alpha) \rangle. \quad (2.2)$$

143 More generally, the two-point probability function with potentially dissimilar phases at the two ends is  
144 defined as

$$S_2^{(ij)}(\mathbf{x}_1, \mathbf{x}_2) = \langle \mathcal{I}_i(\mathbf{x}_1; \alpha) \mathcal{I}_j(\mathbf{x}_2; \alpha) \rangle, \quad (2.3)$$

145 which represents the probability of simultaneously finding phase  $i$  at position  $\mathbf{x}_1$  and phase  $j$  at position  $\mathbf{x}_2$ .  
146 By (2.3) we see that

$$S_2^{(ii)} = S_2^{(i)}, \quad S_2^{(12)}(\mathbf{x}_1, \mathbf{x}_2) = S_1^{(1)}(\mathbf{x}_1) - S_2^{(1)}(\mathbf{x}_1, \mathbf{x}_2). \quad (2.4)$$

147 In this work, we assume that the microstructure is statistically homogeneous and isotropic. A random  
148 medium is said to be statistically homogeneous if the probability functions do not depend on the absolute  
149 positions  $(\mathbf{x}_1, \mathbf{x}_2)$ , and instead depend on their relative position vector as  $\mathbf{x}_1 - \mathbf{x}_2$ . Statistical isotropy  
150 implies direction independence or rotational invariance, and hence the probability functions depend on  
151 relative distances  $|\mathbf{x}_1 - \mathbf{x}_2|$ , i.e., they are translation invariant for an infinite medium. This implies that the  
152 one-point probability function in (2.1) is independent of  $\mathbf{x}$  and takes a constant value equal to the volume  
153 fraction:

$$S_1^{(i)} = \phi_i \quad (i = 1, 2). \quad (2.5)$$

154 The two-point probability function in (2.4) depends only on the relative distances between the points,  
155  $|\mathbf{x}_1 - \mathbf{x}_2|$ . Hence,  $S_2^{(i)}(\mathbf{x}_1, \mathbf{x}_2) = S_2^{(i)}(|\mathbf{x}_1 - \mathbf{x}_2|)$ .

156 Let the relative position vector of two points be denoted by  $\mathbf{r} = \mathbf{x}_1 - \mathbf{x}_2$ , and  $r = |\mathbf{r}|$ . It is convenient  
157 to introduce the *autocovariance function*  $\chi(r)$ , defined as

$$\chi(r) = \langle [(\mathcal{I}_1(\mathbf{x}_1) - \phi_1) (\mathcal{I}_1(\mathbf{x}_1 + \mathbf{r}) - \phi_1)] \rangle = S_2^{(1)}(r) - \phi_1^2,$$

158 It can be shown that the autocovariance of phase-1 is equal to the autocovariance of phase-2 (see (A9) in  
159 Appendix A). Any realizable  $\chi(|\mathbf{x}_1 - \mathbf{x}_2|)$  is subject to some (necessary but not sufficient) non-negative  
160 conditions [56]. We observe that in the limit  $|\mathbf{x}_1 - \mathbf{x}_2| \rightarrow 0$ ,

$$\lim_{|\mathbf{x}_1 - \mathbf{x}_2| \rightarrow 0} \chi(|\mathbf{x}_1 - \mathbf{x}_2|) = \lim_{|\mathbf{x}_1 - \mathbf{x}_2| \rightarrow 0} S_2^{(1)}(|\mathbf{x}_1 - \mathbf{x}_2|) - \phi_1^2 = \phi_1 - \phi_1^2 = \phi_1 \phi_2. \quad (2.6)$$

161 If there is no long-range order — meaning that as  $|\mathbf{x}_1 - \mathbf{x}_2| \rightarrow \infty$ , the events at  $\mathbf{x}_1$  and  $\mathbf{x}_2$  become statistically  
162 independent — then we have

$$\lim_{|\mathbf{x}_1 - \mathbf{x}_2| \rightarrow \infty} S_2^{(1)}(|\mathbf{x}_1 - \mathbf{x}_2|) = \langle \mathcal{I}_1(\mathbf{x}_1) \rangle \langle \mathcal{I}_1(\mathbf{x}_2) \rangle = \phi_1^2 \quad \Rightarrow \quad \lim_{r \rightarrow +\infty} \chi(r) = 0. \quad (2.7)$$

163 Due to the binary nature of  $\mathcal{I}_i(\mathbf{x})$ , the bounds on  $S_2^{(i)}$  are easily found to be,  $0 < S_2^{(i)} < \phi_i$ . Using these  
164 bounds in (A9), we can find bounds on  $\chi$  which can be written as,

$$-\min\{\phi_1^2, \phi_2^2\} < \chi(r) < \phi_1 \phi_2 \quad (2.8)$$

165 Another condition is on the slope of  $\chi(r)$  being negative for all non-trivial volume fractions [56, 57], i.e.,

$$\left. \frac{d\chi(r)}{dr} \right|_{r=0} < 0. \quad (2.9)$$

166 For more details on the properties of  $\chi(r)$ , and  $n$ -point probability functions of random heterogeneous  
167 media, we refer the readers to the book by Torquato [56].

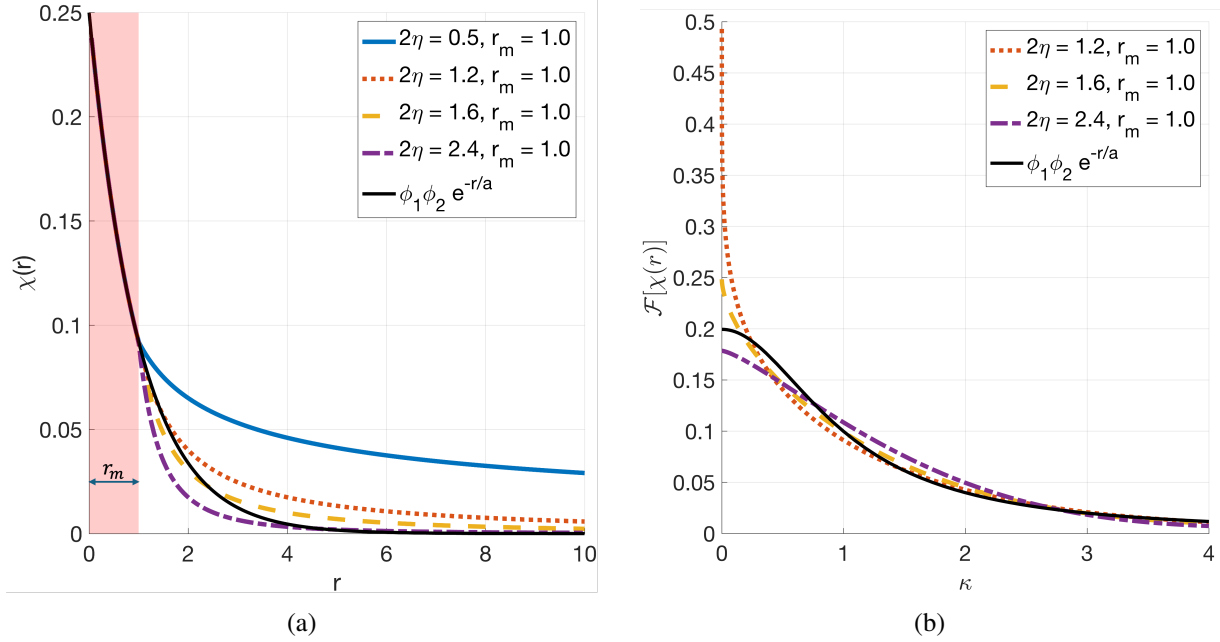


Figure 1: Autocovariance functions with exponential behavior in the core region (shaded in red) and a power-law decay outside the core region are shown: (a)  $\chi(r)$  in real-space, (b) Fourier transformed autocovariance  $\mathcal{F}[\chi]$  vs. the wavevector  $\kappa$ . Keeping all other parameters fixed,  $a = 1, r_m = 1, \phi_1\phi_2 = 0.25$ , only the power-law exponent is varied. The value of the power-law exponent  $2\eta$  determines the rate of decay, making it slower or faster. The purely exponential decay is shown by the solid black curve, which is typical of the commonly studied two-phase random composites. The Fourier transform of  $\chi$  for  $2\eta = 0.5$  is not defined and is not shown in the Fourier space.

168 Generally, the function  $\chi(r)$  is assumed to decay very rapidly as  $r$  increases, and is typically of the expo-  
 169 nential form  $e^{-r/a}$ , where  $a$  is the decay length scale. Here, we consider the case where the autocovariance  
 170 has a slower power-law decay (algebraic). Unlike the exponential correlation, power-law correlations do  
 171 not have a finite correlation length scale. Power-law distributions are abundantly seen in many naturally  
 172 occurring phenomena like galaxy distributions, biological structures [58–60], and porous materials [61]. By  
 173 taking into account the conditions on  $\chi$  stated above, we propose the following form of  $\chi(r)$ :

$$\chi(r) = \begin{cases} \phi_1\phi_2 e^{-r/a} = A_e e^{-r/a}, & \text{if } 0 \leq r \leq r_m \\ \phi_1\phi_2 r_m^{2\eta} \frac{e^{-r_m/a}}{r^{2\eta}} = A_p(r_m) r_m^{2\eta} \frac{1}{r^{2\eta}}, & \text{if } r > r_m \end{cases} \quad (2.10)$$

174 where,  $A_e = \phi_1\phi_2$ ,  $A_p = \phi_1\phi_2 e^{-r_m/a}$ , and  $r_m, a > 0$  are constants. For more details on the derivation of  
 175 the statistical quantities, see Appendix A. The above form of the piecewise autocovariance allows for a core  
 176 part inside a sphere of certain radius  $r_m$ , which is taken to be of the exponential form. The exterior region  
 177 of the core, outside the sphere with radius  $r_m$ , has a power-law decay, and the proposed form of  $\chi$  ensures  
 178 continuity of the autocovariance at the spherical surface of radius  $r_m$ .

179 Figure 1(a)-(b) shows the autocovariance functions in real-space and Fourier-space plotted for different  
 180 values of the power-law exponent  $2\eta$ . The core radius is fixed at  $r_m = 1$  and the volume fractions are  
 181 arbitrarily chosen such that  $\phi_1\phi_2 = 0.25$ . For reference, we also show the pure exponential autocovariance  
 182 (solid black curve), which is typically observed in the study of most random composites. The power-law

183 exponent  $2\eta$  determines the rate of decay of  $\chi$ . Note that for  $\chi$  with  $\eta = 0.5 < 1$  (shown in blue), the Fourier  
 184 transform of the autocovariance function denoted by  $\mathcal{F}[\chi](\kappa)$  is not well-defined as the integral diverges.  
 185 Hence, although we show the real-space form of  $\chi$  in 1(a), we have not shown its corresponding Fourier plot  
 186 in 1(b). We can notice from figures 1(a)-(b) that, as is well-known, the wider the spread of  $\chi$  in real-space,  
 187 the more localized (narrow spread) it is in the Fourier space.

## 188 2.B. Statistical description of the microstructure moduli

189 The elastic modulus of the inhomogeneous medium in the realization  $\alpha$ , represented by  $\mathbf{L}(\mathbf{x}; \alpha)$ , varies with  
 190 position  $\mathbf{x}$ , and can be expressed using the indicator functions as,

$$\mathbf{L}(\mathbf{x}; \alpha) = \sum_{i=1}^2 \mathbf{L}_i \mathcal{I}_i(\mathbf{x}; \alpha), \quad \implies \quad \langle \mathbf{L} \rangle(\mathbf{x}) = \sum_{i=1}^2 \mathbf{L}_i S_1^{(i)}(\mathbf{x}). \quad (2.11)$$

191 The linear elastic constitutive relation between stress and strain is given by

$$\boldsymbol{\sigma}(\mathbf{x}; \alpha) = \mathbf{L}(\mathbf{x}; \alpha) \boldsymbol{\epsilon}(\mathbf{x}; \alpha), \quad \boldsymbol{\epsilon} = \frac{1}{2}(\nabla \mathbf{u} + (\nabla \mathbf{u})^\top) \quad (2.12)$$

192 where  $\boldsymbol{\sigma}$ ,  $\boldsymbol{\epsilon}$ , and  $\mathbf{u}$  is respectively the elastic stress, strain, and displacement. Suppose that the overall  
 193 heterogeneous body  $\Omega$  is subject to an applied body force  $\mathbf{f}$  and the boundary displacement is prescribed as  
 194  $\mathbf{u} = \mathbf{u}_0$  on  $\partial\Omega$ . Then the equilibrium equation for the inhomogeneous elastic body can be written as

$$\begin{aligned} \operatorname{div} \boldsymbol{\sigma}(\mathbf{x}; \alpha) + \mathbf{f}(\mathbf{x}) &= \mathbf{0} \quad \text{in } \Omega, \\ \mathbf{u}(\mathbf{x}) &= \mathbf{u}_0 \quad \text{on } \partial\Omega. \end{aligned} \quad (2.13)$$

195 which is also the Euler-Lagrange equation of the variational principle:

$$\min_{\mathbf{u}} \left\{ I[\mathbf{u}] = \int_{\Omega} \left( \frac{1}{2} \nabla \mathbf{u} \cdot \mathbf{L} \nabla \mathbf{u} - \mathbf{f} \cdot \mathbf{u} \right) : \mathbf{u} = \mathbf{u}_0 \text{ on } \partial\Omega \right\}. \quad (2.14)$$

196 Here and subsequently, we omit the explicit dependence on  $\mathbf{x}$  and  $\alpha$  for brevity, reintroducing it when  
 197 necessary for clarity.

## 198 3. Homogenization using perturbation theory *à la* Willis

199 Our goal is to find an effective description of the equilibrium equations in terms of an effective modulus  
 200 denoted by  $\mathbf{L}_*$ . Willis, in several of his works [39–41, 44–46, 62], has proposed homogenization techniques  
 201 and variational principles to determine the static and dynamic effective properties of heterogeneous media.  
 202 In this section, following the methodology of Willis [40], we briefly summarize the macroscopic governing  
 203 equations and the effective modulus operator for elasticity within a perturbation-based framework. These  
 204 equations serve as the starting point of our work. The elastic modulus, stress, strain, and displacement fields  
 205 are all dependent on the realization  $\alpha$  of the sample under consideration. To get an effective prescription,  
 206 we will look for relations connecting the ensemble averages over all realizations  $\alpha$  of the sample. Taking  
 207 the ensemble average of (2.13) over all realizations yields,

$$\langle \boldsymbol{\sigma} \rangle(\mathbf{x}) = \langle \mathbf{L}(\mathbf{x}) \boldsymbol{\epsilon}(\mathbf{x}) \rangle =: \mathbf{L}_*(\langle \boldsymbol{\epsilon} \rangle(\mathbf{x})). \quad (3.1)$$

208 The above equation also defines the *effective modulus* or effective operator  $\mathbf{L}_*$ , which relates the mean  
 209 strain field to the mean stress field. In the Willis framework used here,  $\mathbf{L}_*$  should be understood as an  
 210 effective *operator* defined through ensemble-averaged fields on a finite domain  $\Omega$  subject to prescribed  
 211 boundary conditions. Consequently, for finite  $\Omega$  the kernel representation of  $\mathbf{L}_*$  is, in general, dependent  
 212 on the chosen volume element and on the imposed boundary conditions, and the associated nonlocality

213 is a finite-volume/general-BC effect. A classical local homogenized modulus (obtained from asymptotic  
214 homogenization) may be recovered in regimes where an appropriate infinite-domain / long-wavelength limit  
215 exists and the resulting effective response becomes independent of boundary conditions and of the particular  
216 choice of  $\Omega$ .

217 We will characterize the *effective operator*  $\mathbf{L}_*$  by a perturbative method. To proceed, we introduce  
218 a reference homogeneous medium  $\mathbf{L}_0$  and denote by  $\boldsymbol{\sigma}_0$ ,  $\boldsymbol{\epsilon}_0$ , and  $\mathbf{u}_0$  the corresponding solution for the  
219 homogeneous medium subject to the same boundary condition as in (2.13)<sub>2</sub>. For simplicity, we choose the  
220 reference medium's modulus as  $\mathbf{L}_0 = \langle \mathbf{L} \rangle$ . Denote by  $\mathbf{G}(\mathbf{x}, \mathbf{x}')$  the Green's function for the homogeneous  
221 reference body satisfying

$$\begin{cases} \frac{\partial}{\partial x_m} [(L_0)_{imlk} G_{lj,k}(\mathbf{x}, \mathbf{x}')] = -\delta(\mathbf{x} - \mathbf{x}') \delta_{ij} & \text{in } \Omega, \\ G_{ij}(\mathbf{x}, \mathbf{x}') = 0 & \forall \mathbf{x} \in \partial\Omega, \end{cases} \quad (3.2)$$

222 where  $\delta_{ij} = 1$  if  $i = j$  and vanishes if  $i \neq j$ , and  $\delta(\mathbf{x})$  is the Dirac function. In terms of the Green's  
223 function (3.2), we have

$$u_{0i}(\mathbf{x}) = \int_{\Omega} G_{ij}(\mathbf{x}, \mathbf{x}') f_j(\mathbf{x}') \, d\mathbf{x}'.$$

224 For the inhomogeneous problem (2.12)-(2.13), let

$$\boldsymbol{\tau}(\mathbf{x}) = (\mathbf{L} - \mathbf{L}_0)\boldsymbol{\epsilon}(\mathbf{x}) = \delta\mathbf{L}\boldsymbol{\epsilon}(\mathbf{x}) \quad (\delta\mathbf{L} = \mathbf{L} - \mathbf{L}_0) \quad (3.3)$$

225 be the stress polarization. Upon rewriting (2.13)<sub>1</sub> as

$$\operatorname{div}((\mathbf{L} - \mathbf{L}_0 + \mathbf{L}_0)\boldsymbol{\epsilon}) = \operatorname{div} \mathbf{L}_0 \boldsymbol{\epsilon} + \operatorname{div} \boldsymbol{\tau} = -\mathbf{f}, \quad (3.4)$$

226 we see that the solution to (3.4) can be written in terms of the Green's function (3.2) for the homogeneous  
227 reference medium as

$$u_i(\mathbf{x}) = \int_{\Omega} G_{ij}(\mathbf{x}, \mathbf{x}') (\operatorname{div}_{\mathbf{x}'} \boldsymbol{\tau})_j(\mathbf{x}') \, d\mathbf{x}' + u_{0i}(\mathbf{x}). \quad (3.5)$$

228 Recall the kinematic relation between strain and displacement (Cf. (2.12)). Differentiating (3.5) w.r.t  $\mathbf{x}$  and  
229 symmetrizing, we get the strain  $\boldsymbol{\epsilon}$  in the original material in terms of strain in the reference medium  $\boldsymbol{\epsilon}_0$  and  
230 the stress polarization

$$\boldsymbol{\epsilon} = \boldsymbol{\epsilon}_0 - \boldsymbol{\Gamma} \boldsymbol{\tau}, \quad (3.6)$$

231 where

$$(\boldsymbol{\Gamma} \boldsymbol{\tau})_{ij} = \int_{\Omega} \frac{1}{2} \left( \frac{\partial^2 G_{ik}(\mathbf{x}, \mathbf{x}')}{\partial x_j \partial x'_l} + \frac{\partial^2 G_{jk}(\mathbf{x}, \mathbf{x}')}{\partial x_i \partial x'_l} \right) (\boldsymbol{\tau})_{kl}(\mathbf{x}') \, d\mathbf{x}' \quad (3.7)$$

232 is a nonlocal linear operator, i.e., a spatial convolution. Combining relations (3.6) and (3.3), we get

$$\boldsymbol{\epsilon} = \boldsymbol{\epsilon}_0 - \boldsymbol{\Gamma}(\delta\mathbf{L}\boldsymbol{\epsilon}) \quad (3.8)$$

233 In Equation (3.8), if  $\mathbf{L}(\mathbf{x}) - \mathbf{L}_0 \equiv \delta\mathbf{L}(\mathbf{x})$  is small, we can apply perturbation theory to perform further  
234 analysis. To simplify the analysis we choose the reference medium with  $\mathbf{L}_0 = \langle \mathbf{L} \rangle$ . Now, we express the

235 actual strain in (3.8) as a perturbation about the mean,

$$\boldsymbol{\epsilon} = \langle \boldsymbol{\epsilon} \rangle + \delta \boldsymbol{\epsilon}, \quad (3.9)$$

236 Ensemble averaging relation (3.8) gives,

$$\langle \boldsymbol{\epsilon} \rangle = \boldsymbol{\epsilon}_0 - \boldsymbol{\Gamma} \langle \delta \mathbf{L} \delta \boldsymbol{\epsilon} \rangle. \quad (3.10)$$

237 Subtracting (3.10) from (3.8) and using (3.9) we get,

$$\delta \boldsymbol{\epsilon} = -\boldsymbol{\Gamma} \delta \mathbf{L} \langle \boldsymbol{\epsilon} \rangle - \boldsymbol{\Gamma} (\delta \mathbf{L} \delta \boldsymbol{\epsilon} - \langle \delta \mathbf{L} \delta \boldsymbol{\epsilon} \rangle) \quad (3.11)$$

238 We assumed  $\delta \mathbf{L}$  to be small, then  $\delta \boldsymbol{\epsilon}$  is also small, and the relation in (3.11) can be applied iteratively to  
239 get,

$$\begin{aligned} \delta \boldsymbol{\epsilon} &= -\boldsymbol{\Gamma} \delta \mathbf{L} \langle \boldsymbol{\epsilon} \rangle - \boldsymbol{\Gamma} \left( \delta \mathbf{L} (-\boldsymbol{\Gamma} \delta \mathbf{L} \langle \boldsymbol{\epsilon} \rangle - \boldsymbol{\Gamma} (\delta \mathbf{L} \delta \boldsymbol{\epsilon} - \langle \delta \mathbf{L} \delta \boldsymbol{\epsilon} \rangle)) - \langle \delta \mathbf{L} (-\boldsymbol{\Gamma} \delta \mathbf{L} \langle \boldsymbol{\epsilon} \rangle - \boldsymbol{\Gamma} (\delta \mathbf{L} \delta \boldsymbol{\epsilon} - \langle \delta \mathbf{L} \delta \boldsymbol{\epsilon} \rangle)) \rangle \right) \\ \implies \delta \boldsymbol{\epsilon} &= -\boldsymbol{\Gamma} \delta \mathbf{L} \langle \boldsymbol{\epsilon} \rangle + \boldsymbol{\Gamma} \left( \delta \mathbf{L} \boldsymbol{\Gamma} \delta \mathbf{L} - \langle \delta \mathbf{L} \boldsymbol{\Gamma} \delta \mathbf{L} \rangle \right) \langle \boldsymbol{\epsilon} \rangle + \dots \end{aligned} \quad (3.12)$$

240 We can now rewrite the expression (3.1) for mean stress in terms of the mean and perturbed strains as,

$$\langle \boldsymbol{\sigma} \rangle = \langle \mathbf{L} \boldsymbol{\epsilon} \rangle = \mathbf{L}_* \langle \boldsymbol{\epsilon} \rangle = \langle \mathbf{L} \rangle \langle \boldsymbol{\epsilon} \rangle + \langle \delta \mathbf{L} \delta \boldsymbol{\epsilon} \rangle. \quad (3.13)$$

241 Substituting (3.12) in (3.13), the mean stress upto second-order can be written as,

$$\begin{aligned} \langle \boldsymbol{\sigma} \rangle &= \mathbf{L}_* \langle \boldsymbol{\epsilon} \rangle = \langle \mathbf{L} \rangle \langle \boldsymbol{\epsilon} \rangle - \left( \langle \delta \mathbf{L} \boldsymbol{\Gamma} \delta \mathbf{L} \rangle - \langle \delta \mathbf{L} \boldsymbol{\Gamma} \delta \mathbf{L} \boldsymbol{\Gamma} \delta \mathbf{L} \rangle + \dots \right) \langle \boldsymbol{\epsilon} \rangle \\ \implies \langle \boldsymbol{\sigma} \rangle(\mathbf{x}) &\approx \langle \mathbf{L} \rangle(\mathbf{x}) \langle \boldsymbol{\epsilon} \rangle(\mathbf{x}) - \left( \langle \delta \mathbf{L}(\mathbf{x}) \boldsymbol{\Gamma}(\mathbf{x}, \mathbf{x}') \delta \mathbf{L}(\mathbf{x}') \rangle \right) \langle \boldsymbol{\epsilon} \rangle(\mathbf{x}). \end{aligned} \quad (3.14)$$

242 Thus, the expression approximating the effective operator  $\mathbf{L}_*$  is obtained as,

$$\mathbf{L}_*(\mathbf{x}) \approx \langle \mathbf{L} \rangle(\mathbf{x}) - \langle \delta \mathbf{L}(\mathbf{x}) \boldsymbol{\Gamma}(\mathbf{x}, \mathbf{x}') \delta \mathbf{L}(\mathbf{x}') \rangle. \quad (3.15)$$

243

244 In the expression above we have omitted the higher order terms in the perturbation expansion. The  
245 effective modulus is a nonlocal operator which has a local contribution from the mean of the moduli of the  
246 constituent phases, and a nonlocal contribution from the second term. The second term operates as a spatial  
247 convolution as stated in (4.1) below. The effective governing equations at this point can be written in terms  
248 of the effective modulus by taking the ensemble mean of (2.13) as,

$$\begin{aligned} \operatorname{div} \langle \boldsymbol{\sigma} \rangle + \mathbf{f} &= \mathbf{0} \quad \text{in } \Omega, \\ \implies \operatorname{div} \mathbf{L}_* \langle \boldsymbol{\epsilon} \rangle + \mathbf{f} &= \mathbf{0} \quad \text{in } \Omega, \end{aligned} \quad (3.16)$$

249 Note that until this point we have not used information on the form of the autocovariance  $\chi$ , and  
250 the problem is still general in that sense. Alternative ways of determining the effective modulus through  
251 variational principles were given by Willis [39–41, 44–46] by connecting the Hashin-Shtrikmann variational  
252 principles to classical energy principles.

#### 253 4. The nonlocal effective operator for 3D isotropic elasticity

254 As discussed in the previous section the effective modulus  $\mathbf{L}_*$ , has a nonlocal contribution from the second  
 255 term. We now focus on the second term to further analyze and derive a more specific form for it. Using the  
 256 expression (2.11) for the modulus in the second term of (3.15) we obtain

$$\langle \delta \mathbf{L} \Gamma \delta \mathbf{L} \rangle \langle \boldsymbol{\epsilon} \rangle (\mathbf{x}) = \sum_{(i,j)=1}^2 L_i \int_{\Omega} \Gamma(\mathbf{x}, \mathbf{x}') L_j \left( S_2^{(ij)}(\mathbf{x}, \mathbf{x}') - S_1^{(i)}(\mathbf{x}) S_1^{(j)}(\mathbf{x}') \right) \langle \boldsymbol{\epsilon} \rangle (\mathbf{x}') d\mathbf{x}'. \quad (4.1)$$

257 If the mean strain varies on a length scale much larger than the effective support/correlation length of the  
 258 kernel in (4.1), then we may approximate  $\langle \boldsymbol{\epsilon} \rangle (\mathbf{x}') \approx \langle \boldsymbol{\epsilon} \rangle (\mathbf{x})$ , yielding a local constitutive approximation.  
 259 This is a long-wavelength approximation and is not generally valid for kernels with long-range correlations.  
 260 At this point, however, we *do not* restrict ourselves to slowly varying mean fields.

261 Next, we focus on the probability functions in (4.1), and our assumption of statistical homogeneity,  
 262 allows the two-point correlation functions to be written as  $S_2^{(ij)}(\mathbf{x}, \mathbf{x}') = S_2^{(ij)}(\mathbf{x} - \mathbf{x}')$ , and the one-point  
 263 correlation functions take constant values equal to the volume fractions of the corresponding phases, i.e.,  
 264  $S_1^{(i)}(\mathbf{x}) = \phi_i$ . Then, the probability terms in (4.1) can be written in terms of the autocovariance function  
 265 denoted by  $\chi(|\mathbf{x} - \mathbf{x}'|)$  using the relation (2.4) (for derivations see (A9) and (A4) from Appendix A).  
 266 Specifically, we get,

$$\begin{aligned} S_2^{(11)}(\mathbf{x}, \mathbf{x}') - S_1^{(1)}(\mathbf{x}) S_1^{(1)}(\mathbf{x}') &= S_2^{(22)}(\mathbf{x}, \mathbf{x}') - S_1^{(2)}(\mathbf{x}) S_1^{(2)}(\mathbf{x}') = \chi(|\mathbf{x} - \mathbf{x}'|), \\ S_2^{(12)}(\mathbf{x}, \mathbf{x}') - S_1^{(1)}(\mathbf{x}) S_1^{(2)}(\mathbf{x}') &= S_2^{(21)}(\mathbf{x}, \mathbf{x}') - S_1^{(2)}(\mathbf{x}) S_1^{(1)}(\mathbf{x}') = -\chi(|\mathbf{x} - \mathbf{x}'|). \end{aligned} \quad (4.2)$$

267 Using these relations in (4.1) and expanding the summation gives,

$$\begin{aligned} \langle \delta \mathbf{L} \Gamma \delta \mathbf{L} \rangle \langle \boldsymbol{\epsilon} \rangle &= \sum_{i,j} L_i \int_{\Omega} \Gamma(\mathbf{x}, \mathbf{x}') L_j \left( S_2^{(ij)}(\mathbf{x}, \mathbf{x}') - S_1^{(i)}(\mathbf{x}) S_1^{(j)}(\mathbf{x}') \right) \langle \boldsymbol{\epsilon} \rangle (\mathbf{x}') d\mathbf{x}' \\ &= \int_{\Omega} \Delta \mathbf{L} \Gamma(\mathbf{x}, \mathbf{x}') \Delta \mathbf{L} \chi(|\mathbf{x} - \mathbf{x}'|) \langle \boldsymbol{\epsilon} \rangle (\mathbf{x}') d\mathbf{x}', \end{aligned} \quad (4.3)$$

268 where  $\Delta \mathbf{L} = \mathbf{L}_1 - \mathbf{L}_2$  is the stiffness tensor difference between the two phases.

269 To further simplify the expression, we make the following observations and assumptions:

270 1. **Local singular structure of the reference Green operator.** Let  $\mathbf{G}_{\Omega}(\mathbf{x}, \mathbf{x}')$  denote the Green's  
 271 function of the homogeneous reference medium posed on the bounded domain  $\Omega \subset \mathbb{R}^d$  with the  
 272 prescribed boundary conditions, and let  $\mathbf{G}(\mathbf{x} - \mathbf{x}')$  denote the corresponding free-space (infinite-  
 273 medium) Green's function on  $\mathbb{R}^d$ . The operator  $\mathbf{\Gamma}_{\Omega}$  constructed from  $\mathbf{G}_{\Omega}$  (via the second-derivative)  
 274 has a bulk singularity of order  $|\mathbf{x} - \mathbf{x}'|^{-d}$  as  $\mathbf{x} \rightarrow \mathbf{x}'$ . Accordingly, the singularity of  $\mathbf{\Gamma}_{\Omega}$  is more  
 275 explicitly stated by writing the nonlocal operator in the form,

$$\mathbf{\Gamma}_{\Omega}(\mathbf{x}, \mathbf{x}') = \frac{\mathcal{G}(\mathbf{x}, \mathbf{x}')}{|\mathbf{x} - \mathbf{x}'|^d} + \mathbf{K}(\mathbf{x}, \mathbf{x}'), \quad (4.4)$$

276 where  $\mathcal{G}$  is a bounded fourth-order tensor-valued function on the unit sphere (no poles). The  
 277 *remainder* kernel,  $\mathbf{K}_R$  is non-singular (smooth, no pole at  $\mathbf{x} = \mathbf{x}'$ ) in the bulk and encodes the  
 278 boundary-correction effects. For instance, in linear isotropic elasticity in  $\mathbb{R}^d$ , the free-space Green's  
 279 function  $\mathbf{G}$  has the form

$$\mathbf{G}(\mathbf{x} - \mathbf{x}') = A \mathbf{I} \nabla^2 |\mathbf{x} - \mathbf{x}'| + B \nabla \nabla |\mathbf{x} - \mathbf{x}'|, \quad (4.5)$$

280 where,  $A, B$  are some real constants related to the material's lame parameters, and we can see that

281 differentiating twice yields the singular behavior  $|\mathbf{x} - \mathbf{x}'|^{-d}$ .

282 **2. Bulk-kernel approximation for the nonlocal operator.** For most finite geometries  $\Omega$ , obtaining  
 283  $\mathbf{G}_\Omega$  (and hence  $\mathbf{\Gamma}_\Omega$ ) may be difficult. We therefore simplify by adopting a *bulk-body approximation*  
 284 in which the nonlocal constitutive kernel is approximated by its translation-invariant infinite-medium  
 285 counterpart:

$$\mathbf{\Gamma}_\Omega(\mathbf{x}, \mathbf{x}') \approx \mathbf{\Gamma}(\mathbf{x} - \mathbf{x}'), \quad \mathbf{\Gamma}(\mathbf{x} - \mathbf{x}') := \frac{\mathcal{G}(\mathbf{x}, \mathbf{x}')}{|\mathbf{x} - \mathbf{x}'|^d}. \quad (4.6)$$

286 This approximation is justified for interior (bulk) response because the boundary correction  $\mathbf{K}_R$  in  
 287 (4.4) is non-singular and does not modify the local singular structure that determines the fractional  
 288 order obtained from the power-law tail of  $\chi$ . Consequently, using  $\mathbf{\Gamma}$  affects only smooth and other  
 289 geometry-dependent lower-order corrections, while preserving the emergent fractional scaling.

290 **3. Finite-domain implementation and size dependence.** Although (4.6) uses the infinite-medium  
 291 kernel, the specimen remains finite through the domain of integration and the exterior/boundary data  
 292 imposed on the macroscopic field (e.g., via a compactly supported or prescribed extension outside  
 293  $\Omega$ ). Therefore, finite-size effects enter through truncation by  $\Omega$  and the associated exterior/boundary  
 294 condition, and are not in contradiction with the bulk-kernel approximation. In particular, when  $\chi(r)$   
 295 has a power-law tail, the resulting nonlocal contribution scales algebraically with the specimen size,  
 296 yielding the fractional size-effect reported in Equation (5.37).

297 With the above approximations, we now use the form of autocovariance given in (2.10), with the value  
 298 of  $\eta$  restricted to the limits such that  $2\eta \in (0, 1)$ , and substitute in (4.3).

299 Then, splitting the integral in (4.3) into a core region where  $r \leq r_m$ , and the exterior where  $r > r_m$  we  
 300 get,

$$\begin{aligned} & \Delta \mathbf{L} \int_{\Omega} \mathbf{\Gamma}(\mathbf{x}, \mathbf{x}') \Delta \mathbf{L} \chi(|\mathbf{x} - \mathbf{x}'|) \langle \boldsymbol{\epsilon} \rangle(\mathbf{x}') \, d\mathbf{x}' \\ &= A_e \Delta \mathbf{L} \int_{r \leq r_m} \frac{\mathcal{G}(\mathbf{x}, \mathbf{x}')}{|\mathbf{x} - \mathbf{x}'|^d} \Delta \mathbf{L} e^{(-|\mathbf{x} - \mathbf{x}'|/a)} \langle \boldsymbol{\epsilon} \rangle(\mathbf{x}') \, d\mathbf{x}' + A_p r_m^{2\eta} \Delta \mathbf{L} \int_{r > r_m} \frac{\mathcal{G}(\mathbf{x}, \mathbf{x}')}{|\mathbf{x} - \mathbf{x}'|^{d+2\eta}} \Delta \mathbf{L} \langle \boldsymbol{\epsilon} \rangle(\mathbf{x}') \, d\mathbf{x}' \end{aligned} \quad (4.7)$$

301 In the core region,  $r < r_m$ , for small  $r_m$  we can approximate  $\langle \boldsymbol{\epsilon} \rangle(\mathbf{x}') \approx \langle \boldsymbol{\epsilon} \rangle(\mathbf{x})$ , so the integral with respect  
 302 to  $\mathbf{x}'$  in the first term is reduced to a local tensor operating on  $\langle \boldsymbol{\epsilon} \rangle(\mathbf{x})$ . The second integral gives the nonlocal  
 303 contribution of  $\langle \boldsymbol{\epsilon} \rangle(\mathbf{x}')$  to the mean stress, with the kernel decaying as a power-law. The second integral on  
 304 the right hand side in (4.7), is evaluated on the exterior of the core, i.e., for  $r > r_m$ . We approximate this  
 305 integral by a one defined over the entire domain  $\Omega$  but taken in the Cauchy Principle Value sense,

$$\begin{aligned} & \Delta \mathbf{L} \int_{\Omega} \mathbf{\Gamma}(\mathbf{x}, \mathbf{x}') \Delta \mathbf{L} \chi(|\mathbf{x} - \mathbf{x}'|) \langle \boldsymbol{\epsilon} \rangle(\mathbf{x}') \, d\mathbf{x}' \approx \underbrace{\left( A_e \Delta \mathbf{L} \int_{r \leq r_m} \frac{\mathcal{G}(\mathbf{x}, \mathbf{x}')}{|\mathbf{x} - \mathbf{x}'|^d} \Delta \mathbf{L} e^{(-|\mathbf{x} - \mathbf{x}'|/a)} \, d\mathbf{x}' \right)}_{\mathbf{L}_{\text{loc}}} \langle \boldsymbol{\epsilon} \rangle(\mathbf{x}) \\ &+ A_p r_m^{2\eta} \Delta \mathbf{L} \text{ P.V.} \int_{\Omega} \frac{\mathcal{G}(\mathbf{x}, \mathbf{x}')}{|\mathbf{x} - \mathbf{x}'|^{d+2\eta}} \Delta \mathbf{L} \langle \boldsymbol{\epsilon} \rangle(\mathbf{x}') \, d\mathbf{x}'. \end{aligned} \quad (4.8)$$

306 The last integral in (4.8) should be taken in the Cauchy Principle Value (P.V.) sense as defined later in (5.7).  
 307 The expression on the right hand side in (4.8) can be viewed as a sum of the local operator  $\mathbf{L}_{\text{loc}}$  and the

308 nonlocal operator  $\Lambda_{\text{nonloc}}$ , acting on the mean strain. These operators are defined as,

$$\begin{aligned} \mathbf{L}_{\text{loc}}\langle\boldsymbol{\epsilon}\rangle(\mathbf{x}) &= \left( \Delta\mathbf{L} \int_{r \leq r_m} A_e \frac{\mathcal{G}(\mathbf{x}, \mathbf{x}')}{|\mathbf{x} - \mathbf{x}'|^d} e^{(-|\mathbf{x} - \mathbf{x}'|/a)} \Delta\mathbf{L} \, d\mathbf{x}' \right) \langle\boldsymbol{\epsilon}\rangle(\mathbf{x}), \\ \Lambda_{\text{nonloc}}\langle\boldsymbol{\epsilon}\rangle(\mathbf{x}) &= A_p r_m^{2\eta} \Delta\mathbf{L} \text{ P.V.} \int_{\Omega} \frac{\mathcal{G}(\mathbf{x}, \mathbf{x}') \Delta\mathbf{L}\langle\boldsymbol{\epsilon}\rangle(\mathbf{x}')}{|\mathbf{x} - \mathbf{x}'|^{d+2\eta}} \, d\mathbf{x}'. \end{aligned} \quad (4.9)$$

309 The operator  $\Lambda_{\text{nonloc}}$  has a power-law kernel and so the integral is interpreted in the principal value sense.  
310 Finally, using (3.14) we can express the mean stress via a nonlocal effective modulus operator on the mean  
311 strain as,

$$\langle\boldsymbol{\sigma}\rangle = \mathbf{L}_* \langle\boldsymbol{\epsilon}\rangle \approx \left( \langle\mathbf{L}\rangle + \mathbf{L}_{\text{loc}} + \Lambda_{\text{nonlocal}} \right) \langle\boldsymbol{\epsilon}\rangle. \quad (4.10)$$

312 Equation (4.10) is a second-order small-contrast approximation for the effective modulus valid for the general  
313 case of 3D isotropic elasticity.

## 314 5. Emergent fractional-derivative operators in effective constitutive law for anti-plane elas- 315 ticity

316 Our goal is to further analyze the nonlocal operator  $\Lambda_{\text{nonloc}}$  in (4.9)<sub>2</sub> to extract the effect of the power-law  
317 decay of the nonlocal kernel. During the analysis we will establish a direct correspondence between power-  
318 law kernels and fractional Laplacians (along with their derivatives), thereby relating Green's function-type  
319 representations (convolutions) and their Fourier symbols. This correspondence will generally serve as a  
320 useful mechanics tool for modeling nonlocal properties of materials via fractional derivatives as extensions  
321 of local differential operators. In Appendix B, we formally derive this correspondence between the Green's  
322 function (and its derivatives) obtained from classical and fractional Laplacian operators, and their Fourier  
323 representations.

324 For simplicity, we demonstrate the results for the case of anti-plane elasticity, so that we are only  
325 concerned with the scalar displacement  $u(\mathbf{x})$ , and the strain  $\boldsymbol{\epsilon} = \nabla u$  becomes a vector. The elastic tensors  
326 of the two phases are taken to be scalar constants, which are the shear moduli of the two phases denoted by  
327  $\mu_1$  and  $\mu_2$ , and their difference is denoted by  $\Delta\mu = \mu_1 - \mu_2$ . The elastic tensor  $\mathbf{L}(\mathbf{x})$  is now simply the  
328 scalar shear modulus  $\mu(\mathbf{x})$ . The problem now is to simply evaluate the expression (4.10) for the case of 3D  
329 anti-plane elasticity, with the nonlocal term  $\Lambda_{\text{nonloc}}$  being the major focus of the analysis.

330 **Fourier transform convention and interpretation of singular kernels:** Throughout this section, for a  
331 function  $f(\mathbf{x})$ , we use the (infinite-space) Fourier transform convention where hats denote the transformed  
332 function<sup>3</sup>:

$$\tilde{f}(\mathbf{k}) = \mathcal{F}[f](\mathbf{k}) = \int_{\mathbb{R}^3} f(\mathbf{x}) e^{-i\mathbf{k}\cdot\mathbf{x}} \, d\mathbf{x}, \quad f(\mathbf{x}) = \mathcal{F}^{-1}[\tilde{f}](\mathbf{x}) = \int_{\mathbb{R}^3} \tilde{f}(\mathbf{k}) e^{i\mathbf{k}\cdot\mathbf{x}} \frac{d\mathbf{k}}{(2\pi)^3}.$$

333 We begin by noting that the Green's function for the reference medium in 3D for anti-plane elasticity is  
334 given as,

$$G(r) = A/r, \quad (5.1)$$

335 where  $A$  is a constant related to the reference medium's shear modulus. The operator  $\mathbf{I}$  for this reference

<sup>3</sup> In the following, the factor  $1/(2\pi)^3$  in the inverse is dropped for notational brevity. When the physical domain  $\Omega$  is bounded, we extend  $\langle\boldsymbol{\epsilon}\rangle(\mathbf{x})$  by zero outside  $\Omega$  so that the Fourier integrals are well-defined (compact support). When  $\Omega = \mathbb{R}^3$  is used as an idealization, the Fourier manipulations are interpreted in the standard tempered-distribution sense (equivalently, acting on rapidly decaying test functions / fluctuations); singular integrals with kernels like  $|\mathbf{x} - \mathbf{x}'|^{-3-2\eta}$  are understood in the Cauchy principal value sense.

336 medium, which is the second derivative of the Green's function, is given by,

$$\Gamma_{ij} = \frac{\partial^2 G}{\partial x_i \partial x_j} = r^{-3}(-3A\hat{r}_i\hat{r}_j + A\delta_{ij}) = r^{-3}\mathcal{G}_{ij}(\hat{\mathbf{r}}), \quad (5.2)$$

337 where  $r_k = x_k - x'_k$  with  $\hat{r}_i$  denoting the unit vector, and  $\mathcal{G}_{ij}(\hat{\mathbf{r}}) = -3A\hat{r}_i\hat{r}_j + A\delta_{ij}$  is a second-order tensor  
338 valued function defined on the unit sphere. Using (5.2) in the expression (4.9)<sub>2</sub> for the nonlocal operator  
339  $A_{\text{nonloc}}$ , and taking the Fourier transform gives,

$$\begin{aligned} \mathcal{F}[A_{\text{nonloc}}\langle\epsilon\rangle(\mathbf{x})] &\equiv \mathcal{F}\left[A_p r_m^{2\eta}(\Delta\mu)^2 \int_{\mathbb{R}^3} \frac{\mathcal{G}_{ij}\langle\epsilon\rangle_j(\mathbf{x}')}{r^{3+2\eta}} d\mathbf{x}'\right] \\ &= A_p r_m^{2\eta} A(\Delta\mu)^2 \left( -3\mathcal{F}[r^{-3-2\eta}\hat{r}_i\hat{r}_j] + \mathcal{F}[r^{-3-2\eta}] \delta_{ij} \right) \mathcal{F}[\langle\epsilon\rangle_j(\mathbf{x})]. \end{aligned} \quad (5.3)$$

340 Here, the integral over  $\Omega$  is extended to  $\mathbb{R}^3$  by noting that  $\langle\epsilon\rangle(\mathbf{x}) = 0$  outside  $\Omega$ . The Fourier transforms,  
341  $\mathcal{F}[r^{-3-2\eta}\hat{r}_i\hat{r}_j]$  and  $\mathcal{F}[r^{-3-2\eta}]$ , appearing on the right hand side of (5.3) can be related to the Fourier  
342 transforms of appropriate fractional Laplacians and their higher-order derivatives, which is now shown  
343 below.

344 A fractional Laplace operator,  $(-\Delta)^s$ , is a nonlocal integral operator that generalizes the notion of  
345 the standard Laplacian to fractional ordered spatial derivatives. More detailed discussion on the standard  
346 Laplace equation, fractional Laplace equation, and their Fourier representations and appropriate function  
347 spaces is presented in Appendix B, and here we just introduce important concepts that will be needed in our  
348 analysis. For  $s \in (0, 1)$ , the positive fractional Laplacian  $(-\Delta)^s u(\mathbf{x})$ , and the negative fractional Laplacian  
349  $(-\Delta)^{-s} u(\mathbf{x})$  are defined via the integrals as [25],

$$(-\Delta)^s u(\mathbf{x}) = C(d, s) \text{P.V.} \int_{\mathbb{R}^d} \frac{u(\mathbf{x}) - u(\mathbf{x}')}{|\mathbf{x} - \mathbf{x}'|^{d+2s}} d\mathbf{x}', \quad (5.4)$$

350

$$(-\Delta)^{-s} u(\mathbf{x}) = B(d, s) \int_{\mathbb{R}^d} \frac{u(\mathbf{x}')}{|\mathbf{x} - \mathbf{x}'|^{d-2s}} d\mathbf{x}', \quad (5.5)$$

351 where,  $C(d, s)$  and  $B(d, s)$  are the normalization constants that depend on the dimension  $d$ ,

$$C(d, s) = \left( \int_{\mathbb{R}^d} \frac{1 - \cos z_1}{|z|^{d+2s}} dz \right)^{-1}, \quad B(d, s) = \left( \int_{\mathbb{R}^d} \frac{\cos z_1}{|z|^{d-2s}} dz \right)^{-1}, \quad (5.6)$$

352 and P.V. denotes the principal value of the integral expressed as the limit,

$$\text{P.V.} \int_{\mathbb{R}^d} \frac{u(\mathbf{x}) - u(\mathbf{x}')}{|\mathbf{x} - \mathbf{x}'|^{d+2s}} d\mathbf{x}' = \lim_{\varepsilon \rightarrow 0} \int_{\mathbb{R}^d \setminus B_\varepsilon(\mathbf{x})} \frac{u(\mathbf{x}) - u(\mathbf{x}')}{|\mathbf{x} - \mathbf{x}'|^{d+2s}} d\mathbf{x}'. \quad (5.7)$$

353 The Fourier representation of the fractional Laplace operator in (5.4) is equivalent to a pseudo-differential  
354 operator characterized by the symbol  $|\mathbf{k}|^{2s}$  and is given as,

$$\mathcal{F}[(-\Delta)^s u(\mathbf{x})] = |\mathbf{k}|^{2s} \mathcal{F}[u(\mathbf{x})], \quad (5.8)$$

355 where  $\mathbf{k}$  is the transformed variable, and for a negative fractional Laplacian this becomes,

$$\mathcal{F}[(-\Delta)^{-s} u(\mathbf{x})] = |\mathbf{k}|^{-2s} \mathcal{F}[u(\mathbf{x})]. \quad (5.9)$$

356 One way to generalize the notion of higher-order fractional Laplacians is through their Fourier repre-

357 sentation and Green's function representation. Consider a fractional Laplace equation for a positive general  
358 fraction  $p > 0$  given by,

$$(-\Delta)^p u(\mathbf{x}) = f(\mathbf{x}), \quad p = m + s, \quad s \in (0, 1), \quad m = \lfloor p \rfloor, \quad (5.10)$$

359 and  $u, f \in C_0^\infty(\mathbb{R}^d)$  are smooth functions. The solution  $u(\mathbf{x})$  can be formally represented by taking the  
360 inverse of (5.12) and using Green's function type integral representation,

$$u(\mathbf{x}) = (-\Delta)^{-p} f(\mathbf{x}) = \int_{\mathbb{R}^d} \frac{B(d, p)}{|\mathbf{x} - \mathbf{x}'|^{d-2p}} f(\mathbf{x}') d\mathbf{x}'. \quad (5.11)$$

361 The corresponding Green's function and its Fourier symbol, denoted by  $G^{(p)}$  and  $\widetilde{G^{(p)}}$  respectively, can be  
362 formally represented as,

$$G^{(p)}(\mathbf{x}) = \frac{B(d, p)}{|\mathbf{x}|^{d-2p}} \quad \text{and} \quad \widetilde{G^{(p)}}(\mathbf{k}) = \mathcal{F}[G^{(p)}(\mathbf{x})] = |\mathbf{k}|^{-2p}, \quad (5.12)$$

363 where,  $B(d, p)$  is the normalization constant as defined in (5.6).

364 It can be shown that (see Appendix 2 for a formal derivation), the Fourier transform of the  $2m^{\text{th}}$ -order  
365 partial derivative of the Green's function  $G^{(p)}$  can be obtained as:

$$\widetilde{G_{,i_1 \dots i_{2m}}^{(p)}}(\mathbf{k}) = \mathcal{F}[G_{,i_1 \dots i_{2m}}^{(p)}(\mathbf{x})] = \mathcal{F}[|\mathbf{x}|^{-d+2s} \mathcal{G}_{i_1 \dots i_{2m}}^{(p)}(\mathbf{x})] = (-1)^m \hat{k}_{i_1} \dots \hat{k}_{i_{2m}} |\mathbf{k}|^{-2s} \quad (5.13)$$

366 where

$$\mathcal{G}_{i_1 \dots i_{2m}}^{(p)}(\mathbf{x}) = |\mathbf{x}|^{d+2s} G_{,i_1 \dots i_{2m}}^{(p)}(\mathbf{x}),$$

367 is a smooth tensor-valued function on the unit sphere, and the hats denote unit-vectors.

368 Now for the case  $m = 0$ , we have  $p = s$ , and taking the Fourier transform of the second gradient of the  
369 Green's function  $G^{(s)}$  from (5.12) with  $d = 3$ , we get,

$$\begin{aligned} \widetilde{G_{,ij}^{(s)}}(\mathbf{k}) &= \mathcal{F}[G_{,ij}^{(s)}(\mathbf{r})] \\ &= B(d, s) \left( -(-3 + 2s) \mathcal{F}[r^{-3-2(1-s)}] \delta_{ij} - (-3 + 2s)(-5 + 2s) \mathcal{F}[r^{-3-2(1-s)} \hat{r}_i \hat{r}_j] \right) \\ &= B(d, s) \left( (1 + 2\eta) \mathcal{F}[r^{-3-2\eta}] \delta_{ij} - (1 + 2\eta)(3 + 2\eta) \mathcal{F}[r^{-3-2\eta} \hat{r}_i \hat{r}_j] \right). \end{aligned} \quad (5.14)$$

370 where we have set  $\eta = 1 - s$ , and as  $s \in (0, 1)$  so does  $\eta \in (0, 1)$ . Since the derivative operator in real space  
371 becomes a polynomial multiplier in the Fourier space, then the Fourier transform of the second gradient of  
372  $G^{(s)}$  in (5.12) can also be written as,

$$\widetilde{G_{,ij}^{(s)}}(\mathbf{k}) = \mathcal{F}[G_{,ij}^{(s)}(\mathbf{r})] = -k_i k_j \mathcal{F}[G^{(s)}] = -k_i k_j |\mathbf{k}|^{-2s} = -\hat{k}_i \hat{k}_j |\mathbf{k}|^{2\eta}. \quad (5.15)$$

373 To obtain the Fourier transform of the Laplacian of the Green's function  $G^{(s)}$ , we simply set  $i = j$  or take  
374 the trace in equations (5.14)-(5.15) and get,

$$\widetilde{G_{,ii}^{(s)}}(\mathbf{k}) = \mathcal{F}[G_{,ii}^{(s)}(\mathbf{r})] = B(d, s) \left( -(1 + 2\eta) 2\eta \mathcal{F}[r^{-3-2\eta}] \right) = -|\mathbf{k}|^{2\eta}. \quad (5.16)$$

375 Multiplying(5.16) by  $1/2\eta$  and adding it to (5.14) we get,

$$\begin{aligned} \widetilde{G}_{,ij}^{(s)}(\mathbf{k}) + \frac{1}{2\eta} \widetilde{G}_{,kk}^{(s)}(\mathbf{k}) \delta_{ij} &= -B(d, s)(1 + 2\eta)(3 + 2\eta) \mathcal{F}[r^{-3-2\eta} \hat{r}_i \hat{r}_j] \\ &= -k_i k_j |\mathbf{k}|^{-2s} - \frac{1}{2\eta} |\mathbf{k}|^{2\eta} \delta_{ij}. \end{aligned} \quad (5.17)$$

376 Thus, we have expressed the Fourier transform  $\mathcal{F}[r^{-3-2\eta} \hat{r}_i \hat{r}_j]$  in terms of the Fourier transforms of the  
377 derivatives of the Green's function  $\widetilde{G}^{(s)}$ . Using (5.17) and (5.16) in (5.3) we get,

$$\begin{aligned} \mathcal{F}[A_{\text{nonloc}} \langle \epsilon \rangle]_i &= A_p r_m^{2\eta} A(\Delta \mu)^2 \left( -3\mathcal{F}[r^{-3-2\eta} \hat{r}_i \hat{r}_j] + \mathcal{F}[r^{-3-2\eta}] \delta_{ij} \right) \mathcal{F}[\langle \epsilon \rangle_j(\mathbf{x})] \\ &= \frac{A_p r_m^{2\eta} A(\Delta \mu)^2}{B(3, s)(1 + 2\eta)(3 + 2\eta)} \left[ 3 \left( \widetilde{G}_{,ij}^{(1-\eta)}(\mathbf{k}) + \frac{1}{2\eta} \widetilde{G}_{,kk}^{(1-\eta)}(\mathbf{k}) \delta_{ij} \right) - \frac{3 + 2\eta}{2\eta} \widetilde{G}_{,kk}^{(1-\eta)} \delta_{ij} \right] \widetilde{\langle \epsilon \rangle}_j(\mathbf{k}) \\ &= \frac{A_p r_m^{2\eta} A(\Delta \mu)^2}{B(3, s)(1 + 2\eta)(3 + 2\eta)} \left[ 3 \left( -k_i k_j |\mathbf{k}|^{-2(1-\eta)} \right) + |\mathbf{k}|^{2\eta} \delta_{ij} \right] \widetilde{\langle \epsilon \rangle}_j(\mathbf{k}). \end{aligned} \quad (5.18)$$

378 From (5.15), we see that the Fourier symbol  $-k_i k_j |\mathbf{k}|^{-2(1-\eta)}$  represents the second gradient of the Green's  
379 function,  $G_{,ij}^{1-\eta}$ , which in turn (from (5.5) and (5.12)) characterizes the negative fractional Laplace operator  
380  $(-\Delta)^{-(1-\eta)}$ . Thus, taking the inverse Fourier transform in (5.18) and using the correspondence between  
381 the differential operators and their Fourier space representations we get,

$$A_{\text{nonloc}}(x, x') \langle \epsilon \rangle(\mathbf{x}) = \frac{A_p r_m^{2\eta} A(\Delta \mu)^2}{B(3, s)(1 + 2\eta)(3 + 2\eta)} \left( 3\nabla \nabla (-\Delta)^{-(1-\eta)} + \mathbf{I}(-\Delta)^\eta \right) \langle \epsilon \rangle(\mathbf{x}), \quad (5.19)$$

382 where  $(-\Delta)^{-(1-\eta)}$  is the negative fractional Laplace operator with fractional order  $(1 - \eta) \in (0, 1)$ , and  
383  $(-\Delta)^\eta$  is the fractional Laplace operator with fractional order  $\eta \in (0, 1)$ .

384 Thus, from (4.10), the effective modulus operator for the case of anti-plane elasticity can be expressed  
385 in terms of the fractional Laplacian and the gradients of the negative fractional Laplacian as,

$$\boldsymbol{\mu}_* \approx \underbrace{\langle \mu \rangle \mathbf{I} + \mathbf{L}_{\text{loc}}}_{\text{Local terms}} + \frac{A_p r_m^{2\eta} A(\Delta \mu)^2}{B(3, s)(1 + 2\eta)(3 + 2\eta)} \left( 3\nabla \nabla (-\Delta)^{-(1-\eta)} + \mathbf{I}(-\Delta)^\eta \right). \quad (5.20)$$

386 Here, the effective shear modulus  $\boldsymbol{\mu}_*$  is a nonlocal second-order tensor valued operator and is isotropic in the  
387 sense that it is equivariant under all rotations. Note that we do not explicitly show the local contributions to  
388 the effective modulus as our focus is on the nonlocal terms. The fractional orders  $\eta \in (0, 1)$  and  $1 - \eta \in (0, 1)$   
389 appearing above in the effective modulus are completely dictated by the composite microstructure through  
390 the power-law exponent,  $2\eta$ , of the autocovariance function  $\chi \propto 1/r^{2\eta}$  (see (2.10)). The results from this  
391 section can be extended to the general anisotropic elasticity case where the operator  $\boldsymbol{\Gamma}$  will have a more  
392 complicated form, but can still be expressed in terms of Green's functions (convolution type integrals with  
393 power-law kernels) and its derivatives obtained as solutions to the standard and fractional Laplace equations.  
394

### 395 5.A. Scaling of the nonlocal operator: Revealing the fractional size-effect

396 In (5.20) we have established the nonlocal term as a linear combination of fractional Laplace operators and  
397 its derivatives. These nonlocal terms encapsulate all the emergent size-effect, and here we want to extract  
398 that effect. Hence, we will analyze only the nonlocal terms in (5.20). In the following analysis, assume for  
399 simplicity that the domain  $\Omega = \Omega_R$  is a ball  $B_R(0) := \{\mathbf{x} \in \mathbb{R}^3 : |\mathbf{x}| \leq R\}$  of radius  $R$  centered at the

400 origin. To show the size-effect, we assume the mean strain to be non-zero inside the body and 0 outside,

$$\langle \epsilon \rangle(\mathbf{x}) = \begin{cases} \langle \epsilon \rangle(\mathbf{x}), & \mathbf{x} \in \Omega_R, \\ 0, & \mathbf{x} \notin \Omega_R, \end{cases} \quad (5.21)$$

401 and also assume that the strain is geometrically similar (profile-shape is maintained) as the domain is scaled,  
402 as stated in (5.25) below. The mean-stress can be written using (5.20) as,

$$\langle \sigma \rangle = (\text{Local terms}) \langle \epsilon \rangle + P r_m^{2\eta} \left( 3 \nabla \nabla (-\Delta)^{-(1-\eta)} + \mathbf{I} (-\Delta)^\eta \right) \langle \epsilon \rangle, \quad (5.22)$$

403 where, the "Local terms" contain the local contributions (size-independent), and the constant  $P =$   
404  $(A_p A(\Delta \mu)^2) / (B(3, s)(1 + 2\eta)(3 + 2\eta))$  introduced for brevity, depends on the microstructure infor-  
405 mation.

406 First, consider only the fractional Laplace operator  $(-\Delta)^\eta$  with  $\eta \in (0, 1)$  acting component-wise on  
407  $\langle \epsilon \rangle$ , which written in the integral form is (see (5.4)),

$$(-\Delta)^\eta \langle \epsilon \rangle(x) = C(3, \eta) \text{P.V.} \int_{\mathbb{R}^3} \frac{\langle \epsilon \rangle(\mathbf{x}) - \langle \epsilon \rangle(\mathbf{x}')}{|\mathbf{x} - \mathbf{x}'|^{3+2\eta}} d\mathbf{x}'. \quad (5.23)$$

408 Now we introduce dimensionless variables,

$$\mathbf{x} = R \mathbf{y}, \quad \mathbf{x}' = R \mathbf{y}', \quad |\mathbf{y}| \leq 1, \quad (5.24)$$

409 so that  $d\mathbf{x}' = R^3 d\mathbf{y}'$ . As the strain is geometrically similar, we have

$$\langle \epsilon \rangle(\mathbf{x}) = \mathbf{E}(\mathbf{x}/R) = \mathbf{E}(\mathbf{y}), \quad \mathbf{x} \in \Omega_R, \quad (5.25)$$

410 for some fixed reference mean-strain profile  $\mathbf{E}(\mathbf{y})$ . Then,

$$\begin{aligned} (-\Delta)^\eta \langle \epsilon \rangle(R\mathbf{y}) &= C(3, \eta) \int_{\mathbb{R}^3} \frac{\mathbf{E}(\mathbf{y}) - \mathbf{E}(\mathbf{y}')}{|R\mathbf{y} - R\mathbf{y}'|^{3+2\eta}} R^3 d\mathbf{y}' \\ &= \frac{C(3, \eta)}{R^{2\eta}} \int_{\mathbb{R}^3} \frac{\mathbf{E}(\mathbf{y}) - \mathbf{E}(\mathbf{y}')}{|\mathbf{y} - \mathbf{y}'|^{3+2\eta}} d\mathbf{y}'. \end{aligned} \quad (5.26)$$

411 The integral in (5.26)<sub>2</sub> consists of non-dimensional variables  $\mathbf{y}$  and  $\mathbf{y}'$  only, and so we can define the  
412 dimensionless function

$$\mathbf{F}(\mathbf{y}) := C(3, \eta) \int_{\mathbb{R}^3} \frac{\mathbf{E}(\mathbf{y}) - \mathbf{E}(\mathbf{y}')}{|\mathbf{y} - \mathbf{y}'|^{3+2\eta}} d\mathbf{y}' = (-\Delta_{\mathbf{y}})^\eta \mathbf{E}(\mathbf{y}). \quad (5.27)$$

413 Then, for any  $\mathbf{x} \in \Omega_R$  with  $|\mathbf{x}| < R$  it follows from (5.26) and (5.27) that,

$$(-\Delta)^\eta \langle \epsilon \rangle(\mathbf{x}) = \frac{1}{R^{2\eta}} \mathbf{F} \left( \frac{\mathbf{x}}{R} \right) = \frac{1}{R^{2\eta}} (-\Delta_{\mathbf{y}})^\eta \mathbf{E}(\mathbf{y}). \quad (5.28)$$

414 Thus, the fractional Laplacian term scales like  $R^{-2\eta}$ , with the dependence on position encoded in the  
415 dimensionless variable  $\mathbf{x}/R$ .

416 For the  $\nabla \nabla (-\Delta)^{-(1-\eta)}$  term, first consider the inverse fractional Laplace operator  $(-\Delta)^{-(1-\eta)}$  acting  
417 component-wise on the mean-strain:

$$(-\Delta)^{-(1-\eta)} \langle \epsilon \rangle(\mathbf{x}) = B(3, 1 - \eta) \int_{\mathbb{R}^3} \frac{\langle \epsilon \rangle(\mathbf{x}')}{|\mathbf{x} - \mathbf{x}'|^{1+2\eta}} d\mathbf{x}', \quad (5.29)$$

418 for some constant  $B(3, 1 - \eta)$ . Taking the second gradient, we can write

$$\nabla\nabla(-\Delta)^{-(1-\eta)}\langle\epsilon\rangle(\mathbf{x}) = \int_{\mathbb{R}^3} \mathbf{H}(\mathbf{x} - \mathbf{x}')\langle\epsilon\rangle(\mathbf{x}') \, d\mathbf{x}', \quad (5.30)$$

419 where  $\mathbf{H}$  is a matrix-valued kernel. Dimensionally, the negative fractional Laplace operator kernel goes  
420 as,  $(-\Delta)^{-(1-\eta)} \propto |\mathbf{x} - \mathbf{x}'|^{-(1+2\eta)}$ , and each gradient introduces a factor  $\sim |\mathbf{x} - \mathbf{x}'|^{-1}$ . Therefore, the  
421 kernel  $\mathbf{H}$  is homogeneous of degree

$$\mathbf{H}(\lambda\mathbf{r}) = \lambda^{-(3+2\eta)} \mathbf{H}(\mathbf{r}), \quad \lambda \in \mathbb{R}, \quad \lambda > 0, \quad (5.31)$$

422 i.e.,  $\mathbf{H}$  has the same homogeneity exponent as the kernel of  $(-\Delta)^\eta$ . Knowing this, now we apply (5.30) to the  
423 same mean-strain field inside the body as described in (5.25), and again perform a similar non-dimensional  
424 analysis by setting  $\mathbf{x} = R\mathbf{y}$  and  $\mathbf{x}' = R\mathbf{y}'$ , with  $|\mathbf{y}| < 1$  which gives,

$$\nabla\nabla(-\Delta)^{-(1-\eta)}\langle\epsilon\rangle(R\mathbf{y}) = \int_{\mathbb{R}^3} \mathbf{H}(R\mathbf{y} - R\mathbf{y}')\mathbf{E}(\mathbf{y}')R^3 \, d\mathbf{y}'. \quad (5.32)$$

425 Using the homogeneity of  $\mathbf{H}$  we have

$$\nabla\nabla(-\Delta)^{-(1-\eta)}\langle\epsilon\rangle(R\mathbf{y}) = \frac{1}{R^{2\eta}} \int_{\mathbb{R}^3} \mathbf{H}(\mathbf{y} - \mathbf{y}')\mathbf{E}(\mathbf{y}') \, d\mathbf{y}' \quad (5.33)$$

$$= \frac{1}{R^{2\eta}} \mathbf{G}(\mathbf{y}), \quad (5.34)$$

426 with the dimensionless function  $\mathbf{G}(\mathbf{y})$  defined as,

$$\mathbf{G}(\mathbf{y}) := \int_{\mathbb{R}^3} \mathbf{H}(\mathbf{y} - \mathbf{y}')\mathbf{E}(\mathbf{y}') \, d\mathbf{y}' = \nabla_{\mathbf{y}}\nabla_{\mathbf{y}}(-\Delta_{\mathbf{y}})^{-(1-\eta)}\mathbf{E}(\mathbf{y}). \quad (5.35)$$

427 Thus, for any  $\mathbf{x} \in \Omega_R$  with  $|\mathbf{x}| < R$  we have the second gradient of the negative fraction Laplace operator,  
428 with fractional order  $1 - \eta$ , scaling as  $R^{-2\eta}$ ,

$$\nabla\nabla(-\Delta)^{-(1-\eta)}\langle\epsilon\rangle(\mathbf{x}) = \frac{1}{R^{2\eta}} \mathbf{G}\left(\frac{\mathbf{x}}{R}\right) = \frac{1}{R^{2\eta}} \nabla_{\mathbf{y}}\nabla_{\mathbf{y}}(-\Delta_{\mathbf{y}})^{-(1-\eta)}\mathbf{E}(\mathbf{y}). \quad (5.36)$$

429 Using (5.28) and (5.36), the scaling of the nonlocal term  $\Lambda_{\text{nonloc}}$  is determined, and the mean-stress from  
430 (5.22) is obtained as,

$$\begin{aligned} \langle\sigma\rangle(\mathbf{x}) &= (\text{Local terms})\langle\epsilon\rangle + P \frac{r_m^{2\eta}}{R^{2\eta}} \left( 3\mathbf{G}\left(\frac{\mathbf{x}}{R}\right) + \mathbf{F}\left(\frac{\mathbf{x}}{R}\right) \right), \quad \forall \mathbf{x} \in \Omega_R, \quad \text{or}, \\ \langle\sigma\rangle(R\mathbf{y}) &= (\text{Local terms})\mathbf{E}(\mathbf{y}) + P \frac{r_m^{2\eta}}{R^{2\eta}} \left( 3\nabla_{\mathbf{y}}\nabla_{\mathbf{y}}(-\Delta_{\mathbf{y}})^{-(1-\eta)} + \mathbf{I}(-\Delta_{\mathbf{y}})^\eta \right) \mathbf{E}(\mathbf{y}). \end{aligned} \quad (5.37)$$

431 This shows that the mean-stress scales as  $R^{-2\eta}$ , i.e., a fractional size-effect-dependent on the fractional  
432 exponent of the size of the body, while the  $\mathbf{x}$ -dependence is entirely through the dimensionless ratio  $\mathbf{x}/R$ .  
433 Note that the functions  $\mathbf{G}$  and  $\mathbf{F}$  are dimensionless and do not contribute to the size-effect. Also, it is em-  
434 phasized that the fractional exponent  $2\eta$  is directly determined by the microstructure via the autocovariance  
435 function  $\chi(r)$ , which has the power-law exponent  $2\eta$ .

## 436 6. Emergent fractional-derivative operators for 3D isotropic elasticity

437 We now outline the fractional form of the nonlocal operator in (4.9)<sub>2</sub> for the case of three-dimensional static  
438 linear isotropic elasticity, i.e., the random heterogeneous medium is made of two isotropic linear elastic

439 phases. So the effective constitutive relation we work with is the same as in (4.10) but now evaluated  
 440 for the isotropic case. In particular, we will focus on evaluating the nonlocal term  $\mathcal{L}_{\text{nonloc}}\langle\epsilon\rangle(\mathbf{x})$  for the  
 441 isotropic case and showing the emergence of fractional derivatives. As in Section 4, we work in the bulk  
 442 and approximate the Green's function of the reference medium by the infinite-medium Green's tensor. This  
 443 is consistent with the discussion and assumptions preceding (4.7), where the singular behavior of the kernel  
 444 is obtained from the infinite-body reference solution.

445 Let the reference medium be isotropic with Lamé moduli  $\lambda_0, \mu_0$ , and let

$$L_{0ijkl} = \lambda_0 \delta_{ij} \delta_{kl} + \mu_0 (\delta_{ik} \delta_{jl} + \delta_{il} \delta_{jk})$$

446 denote its elasticity tensor. The displacement Green's tensor  $G_{im}(\mathbf{r})$ , with  $\mathbf{r} = \mathbf{x} - \mathbf{x}'$ , is the Kelvin solution  
 447 satisfying

$$L_{0ijkl} \partial_j \partial_k G_{lm}(\mathbf{r}) + \delta_{im} \delta(\mathbf{r}) = 0.$$

448 In  $\mathbb{R}^3$ , this takes the form

$$G_{im}(\mathbf{r}) = \frac{1}{8\pi\mu_0(\lambda_0 + 2\mu_0)} \left[ (\lambda_0 + 3\mu_0) \frac{\delta_{im}}{r} + (\lambda_0 + \mu_0) \frac{r_i r_m}{r^3} \right], \quad r = |\mathbf{r}|. \quad (6.1)$$

449 Equivalently, as concisely stated earlier in (4.5) we can write

$$G_{im}(\mathbf{r}) = \frac{1}{r} \left[ A \delta_{im} + B \hat{r}_i \hat{r}_m \right], \quad \hat{r}_i = \frac{r_i}{r}, \quad A = \frac{\lambda_0 + 3\mu_0}{8\pi\mu(\lambda_0 + 2\mu_0)}, \quad B = \frac{\lambda_0 + \mu_0}{8\pi\mu(\lambda_0 + 2\mu_0)}, \quad (6.2)$$

450 where  $A$  and  $B$  are constants defined in terms of Lamé parameters. The symmetrized Green operator acting  
 451 on strain is then

$$\Gamma_{ijmn}(\mathbf{r}) = \frac{1}{2} \left( T_{imjn}(\mathbf{r}) + T_{jmin}(\mathbf{r}) \right), \quad T_{imjn}(\mathbf{r}) := \frac{\partial^2 G_{im}}{\partial x_j \partial x'_n} \quad (6.3)$$

452 with

$$T_{imjn}(\mathbf{r}) = \frac{1}{r^3} \left[ A \delta_{im} \delta_{jn} - 3A \delta_{im} \hat{r}_j \hat{r}_n - B (\delta_{ij} \delta_{mn} + \delta_{in} \delta_{mj}) \right. \\ \left. + 3B (\delta_{ij} \hat{r}_m \hat{r}_n + \delta_{in} \hat{r}_m \hat{r}_j + \delta_{mj} \hat{r}_i \hat{r}_n + \delta_{mn} \hat{r}_i \hat{r}_j + \delta_{jn} \hat{r}_i \hat{r}_m) - 15B \hat{r}_i \hat{r}_m \hat{r}_j \hat{r}_n \right]. \quad (6.4)$$

453 Note that  $\Gamma_{ijmn}(\mathbf{r}) \sim r^{-3}$ , and hence has a singularity of the form  $r^{-d}$  in 3D. Consistent with the form  
 454 in (4.6), we write  $\Gamma_{ijmn}(\hat{\mathbf{r}}) = \frac{\mathcal{G}_{ijmn}(\mathbf{r})}{r^3}$ . The elastic contrast  $\Delta \mathbf{L}$  of the two-phase random medium is

$$\Delta L_{ijkl} = L_{ijkl}^{(1)} - L_{ijkl}^{(2)} = \Delta \lambda \delta_{ij} \delta_{kl} + \Delta \mu (\delta_{ik} \delta_{jl} + \delta_{il} \delta_{jk}), \quad (6.5)$$

455 with

$$\Delta \lambda = \lambda_1 - \lambda_2, \quad \Delta \mu = \mu_1 - \mu_2.$$

456 Using (6.5) and (6.3), the nonlocal operator in (4.9)<sub>2</sub> can then be written in index notation as

$$(\mathcal{L}_{\text{nonloc}}\langle\epsilon\rangle)_{ij}(\mathbf{x}) = A_p r_m^{2\eta} \Delta L_{ijab} \text{P.V.} \int_{\Omega} \frac{\mathcal{G}_{abmn}(\mathbf{x}, \mathbf{x}') \Delta L_{mnkl} \langle\epsilon\rangle_{kl}(\mathbf{x}')}{|\mathbf{x} - \mathbf{x}'|^{3+2\eta}} d\mathbf{x}', \quad (6.6)$$

457 and further substitution gives (dropping the P.V. notation hereafter),

$$\begin{aligned}
(A_{\text{nonloc}}\langle\epsilon\rangle)_{ij}(\mathbf{x}) &= A_p r_m^{2\eta} \left( (\Delta \lambda)^2 \delta_{ij} \int_{\Omega} \frac{\mathcal{G}_{aamm}(\mathbf{x}, \mathbf{x}')}{r^{3+2\eta}} \langle\epsilon\rangle_{kk}(\mathbf{x}') d\mathbf{x}' \right. \\
&\quad + 2 \Delta \lambda \Delta \mu \int_{\Omega} \frac{\mathcal{G}_{ijmm}(\mathbf{x}, \mathbf{x}')}{r^{3+2\eta}} \langle\epsilon\rangle_{kk}(\mathbf{x}') d\mathbf{x}' \\
&\quad + 2 \Delta \lambda \Delta \mu \delta_{ij} \int_{\Omega} \frac{\mathcal{G}_{aamn}(\mathbf{x}, \mathbf{x}')}{r^{3+2\eta}} \langle\epsilon\rangle_{mn}(\mathbf{x}') d\mathbf{x}' \\
&\quad \left. + 4(\Delta \mu)^2 \int_{\Omega} \frac{\mathcal{G}_{ijmn}(\mathbf{x}, \mathbf{x}')}{r^{3+2\eta}} \langle\epsilon\rangle_{mn}(\mathbf{x}') d\mathbf{x}' \right). \tag{6.7}
\end{aligned}$$

458 Expressions for the fourth-order tensor  $\mathcal{G}$  and its contractions appearing in the above equation can be obtained  
459 from substituting appropriate indices in (6.4). The term in  $(\Delta \lambda)^2$  vanishes as  $\mathcal{G}_{aamm} = 0$ . Also, we have  
460  $\mathcal{G}_{ijmm} = (A - B)(\delta_{ij} - 3\hat{r}_i \hat{r}_j)$ , and  $\mathcal{G}_{aamn} = (A - B)(\delta_{mn} - 3\hat{r}_m \hat{r}_n)$ . Substituting these in (6.7) and  
461 taking the Fourier transform, we get

$$\begin{aligned}
\mathcal{F}[A_{\text{nonloc}}\langle\epsilon\rangle]_{ij} &= A_p^{2\eta} \left( 2 \Delta \lambda \Delta \mu (A - B) \mathcal{F}\left[\frac{\delta_{ij} - 3\hat{r}_i \hat{r}_j}{r^{3+2\eta}}\right] \mathcal{F}[\langle\epsilon\rangle_{kk}] \right. \\
&\quad + 2 \Delta \lambda \Delta \mu \delta_{ij} (A - B) \mathcal{F}\left[\frac{\delta_{mn} - 3\hat{r}_m \hat{r}_n}{r^{3+2\eta}}\right] \mathcal{F}[\langle\epsilon\rangle_{mn}] \\
&\quad + 4(\Delta \mu)^2 \left( (A - B) \mathcal{F}\left[\frac{1}{r^{3+2\eta}}\right] \mathcal{F}[\langle\epsilon\rangle_{ij}] + B \mathcal{F}\left[\frac{\delta_{ij}}{r^{3+2\eta}}\right] \mathcal{F}[\langle\epsilon\rangle_{mm}] - 3(A - B) \mathcal{F}\left[\frac{\hat{r}_j \hat{r}_n}{r^{3+2\eta}}\right] \mathcal{F}[\langle\epsilon\rangle_{in}] \right. \\
&\quad + 6B \mathcal{F}\left[\frac{\hat{r}_i \hat{r}_m}{r^{3+2\eta}}\right] \mathcal{F}[\langle\epsilon\rangle_{jm}] + 3B \delta_{ij} \mathcal{F}\left[\frac{\hat{r}_m \hat{r}_n}{r^{3+2\eta}}\right] \mathcal{F}[\langle\epsilon\rangle_{mn}] + 3B \mathcal{F}\left[\frac{\hat{r}_i \hat{r}_j}{r^{3+2\eta}}\right] \mathcal{F}[\langle\epsilon\rangle_{mm}] \\
&\quad \left. - 15B \mathcal{F}\left[\frac{\hat{r}_i \hat{r}_j \hat{r}_m \hat{r}_n}{r^{3+2\eta}}\right] \mathcal{F}[\langle\epsilon\rangle_{mn}] \right). \tag{6.8}
\end{aligned}$$

462 In (6.8), the Fourier transforms of the strain are multiplied by Fourier transforms of (with a factor of  $1/r^{3+2\eta}$ )  
463 either a constant tensor term, or a term quadratic in  $\hat{r}$ , or a term quartic in  $\hat{r}$  (the last term above). The example  
464 in Section ?? (see (5.16) and (5.17)) shows how the Fourier transforms  $\mathcal{F}[r^{-3-2\eta}]$  and  $\mathcal{F}[r^{-3-2\eta} \hat{r}_i \hat{r}_j]$  can  
465 be obtained as a linear combination of the Fourier transforms of the fractional Laplacian of order  $\eta$  and  
466 second gradient of the negative fractional Laplacian of order  $-(1 - \eta)$ . Using the same analysis, every term,  
467 except the last, in (6.8) can be written as some linear combination of Fourier transforms of positive fractional  
468 Laplacian (order  $\eta$ ) and second gradient of negative fractional Laplacian (order  $-(1 - \eta)$ ) operating on the  
469 strain tensor (or its trace). For the last term, we follow the same strategy as in Section ?? and consider the  
470 Green's function  $G^{(p)}$  of the positive fractional Laplacian of order  $p = 1 + s$ , where  $s \in (0, 1)$  and  $\eta = 1 - s$

$$G^{(p)}(\mathbf{r}) = \frac{B(d, p)}{|\mathbf{r}|^{d-2p}} \quad \text{and} \quad \widetilde{G^{(p)}}(\mathbf{k}) = |\mathbf{k}|^{-2p}. \tag{6.9}$$

471 Also,  $G^{(p)}$  is equivalent to the negative fractional Laplacian operator  $(-\Delta)^{-p}$  of order  $-p$ . The Fourier  
472 transform of the fourth-order partial derivative of  $G^{(p)}$  can then be written as the following linear combination

473 (the exact coefficients are replaced for simplicity with book-keeping coefficients:  $a_1, a_2, a_3$ )

$$\begin{aligned}
\widetilde{G}^{(p)}_{,ijmn}(\mathbf{k}) &= a_1 \mathcal{F}[\hat{r}_i \hat{r}_j \hat{r}_m \hat{r}_n r^{-3-2\eta}] + a_2 \delta_{mn} \mathcal{F}[\hat{r}_i \hat{r}_j r^{-3-2\eta}] + a_2 \delta_{jn} \mathcal{F}[\hat{r}_i \hat{r}_m r^{-3-2\eta}] \\
&+ a_2 \delta_{in} \mathcal{F}[\hat{r}_j \hat{r}_m r^{-3-2\eta}] + a_2 \delta_{jm} \mathcal{F}[\hat{r}_i \hat{r}_n r^{-3-2\eta}] + a_2 \delta_{im} \mathcal{F}[\hat{r}_j \hat{r}_n r^{-3-2\eta}] + a_2 \delta_{ij} \mathcal{F}[\hat{r}_m \hat{r}_n r^{-3-2\eta}] \\
&+ (a_2(\delta_{in} \delta_{jm} + \delta_{jn} \delta_{im}) + a_3 \delta_{ij} \delta_{mn}) \mathcal{F}[r^{-3-2\eta}] \\
&= k_i k_j k_m k_n |\mathbf{k}|^{-2-2s} = \hat{k}_i \hat{k}_j \hat{k}_m \hat{k}_n |\mathbf{k}|^{2\eta} = \mathcal{F}[\nabla_i \nabla_j \nabla_m \nabla_n (-\Delta)^{-(2-\eta)}]
\end{aligned} \tag{6.10}$$

474 Again the Fourier transforms of the terms quadratic in  $\hat{r}$  can be expressed (using the results of Sec-  
475 tion ??) as some linear combination of  $\mathcal{F}[(-\Delta)^\eta]$  and  $\mathcal{F}[\nabla \nabla (-\Delta)^{-(1-\eta)}]$ . Hence, the Fourier transform  
476  $\mathcal{F}[\hat{r}_i \hat{r}_j \hat{r}_m \hat{r}_n r^{-3-2\eta}]$  can be obtained from (6.10) in terms of the following Fourier transforms (after appropri-  
477 ate tensor products with the identity tensor):  $\mathcal{F}[(-\Delta)^\eta]$ ,  $\mathcal{F}[\nabla \nabla (-\Delta)^{-(1-\eta)}]$ , and  $\mathcal{F}[\nabla \nabla \nabla \nabla (-\Delta)^{-(2-\eta)}]$ ,  
478 which can be denoted as,

$$\mathcal{F}[\hat{r}_i \hat{r}_j \hat{r}_m \hat{r}_n r^{-3-2\eta}] = \mathcal{F}[\nabla \nabla \nabla \nabla (-\Delta)^{-(2-\eta)}]_{ijmn} + \left( \text{Lin. Comb.} \left\{ \mathcal{F}[(-\Delta)^\eta], \mathcal{F}[\nabla \nabla (-\Delta)^{-(1-\eta)}], \right\} \right)_{ijmn}. \tag{6.11}$$

479 Here,  $\text{Lin. Comb.}\{\cdot\}$  is to be interpreted as the linear combination of the bracketed terms after taking  
480 appropriate tensor products with the identity tensor.

481 Now using (6.11) in (6.8) and inverting to real space we can write the nonlocal term as

$$\begin{aligned}
[A_{\text{nonloc}} \langle \epsilon \rangle]_{ij} &= \Delta \lambda \Delta \mu \left( b_1 \delta_{ij} (-\Delta)^\eta + b_2 \nabla_i \nabla_j (-\Delta)^{-(1-\eta)} \right) \langle \epsilon \rangle_{kk} \\
&+ \Delta \lambda \Delta \mu \delta_{ij} \left( b_3 \delta_{mn} (-\Delta)^\eta + b_4 \nabla_m \nabla_n (-\Delta)^{-(1-\eta)} \right) \langle \epsilon \rangle_{mn} + (\Delta \mu)^2 \left( b_5 (-\Delta)^\eta \langle \epsilon \rangle_{ij} \right. \\
&+ b_6 \delta_{ij} (-\Delta)^\eta \langle \epsilon \rangle_{mm} + b_7 \left( \nabla_j \nabla_n (-\Delta)^{-(1-\eta)} \right) \langle \epsilon \rangle_{in} + b_8 \left( \nabla_i \nabla_m (-\Delta)^{-(1-\eta)} \right) \langle \epsilon \rangle_{jm} \\
&+ b_9 \delta_{ij} \left( \nabla_m \nabla_n (-\Delta)^{-(1-\eta)} \right) \langle \epsilon \rangle_{mn} + b_{10} \left( \nabla_i \nabla_j (-\Delta)^{-(1-\eta)} \right) \langle \epsilon \rangle_{mm} \\
&+ b_{11} \left( \nabla_i \nabla_j \nabla_m \nabla_n (-\Delta)^{-(2-\eta)} \right) \langle \epsilon \rangle_{mn} + \left( \text{Lin. Comb} \{ \nabla \nabla (-\Delta)^{-(1-\eta)}, (-\Delta)^\eta \} \right)_{ijmn} \langle \epsilon \rangle_{mn} \Big),
\end{aligned} \tag{6.12}$$

482 where  $b_1, \dots, b_{11}$  are constant coefficients that are introduced for brevity (and can be determined exactly).  
483 Equation (6.12) expresses the nonlocal term in terms of fractional Laplacian of order  $\eta$ , second gradient of  
484 negative fractional Laplacian of order  $-(1-\eta)$ , and fourth gradient of negative fractional Laplacian of order  
485  $-(2\eta)$ . All the fractional orders are linked directly to the power-law exponent  $2\eta$  of the covariance function.  
486 Finally, this nonlocal term enters the mean stress expression in (4.10) and gives an effective constitutive law  
487 expressed using fractional derivatives for an isotropic linear elastic two-phase random medium.

488 In Appendix B, we characterize the general fractional Laplace operators and show that they can be  
489 formally represented by Green's function type convolution operators with power-law kernels and by their  
490 equivalent Fourier symbols. This shows that any nonlocal convolution integral with a power-law kernel  
491 can be represented as a linear combination of positive and negative fractional Laplace operators and its  
492 derivatives. Hence, although this section describes results for 3D isotropic elasticity, the analysis can be  
493 extended, with tedious algebra, to the general anisotropic elasticity case.

## 494 7. Concluding remarks

495 In conclusion, this work elucidates the origin of fractional behavior in materials with microstructure by  
496 revealing a direct link between the microstructural covariance and the effective fractional order observed in

497 macroscopic models. Our analysis shows that when the autocovariance function of the random composite  
 498 adheres to a power-law form with a fractional exponent, while satisfying all the necessary constraints on  
 499  $\chi(r)$ , the resulting effective static modulus exhibits a distinct fractional dependence on the size of the  
 500 material. This finding directly correlates the order of the fractional derivative or integral with the power-law  
 501 exponent inherent to the microstructural variability.

502 Our study is based on information extracted from two-point probability functions, offering a fundamental  
 503 yet robust framework for capturing the essential statistical features of the microstructure. This approach  
 504 paves the way for explorations in metamaterial design [61, 63–65], where we can tailor specific micro-  
 505 geometries and incorporate detailed statistical descriptors to achieve desired fractional and size-dependent  
 506 effects. It must be noted that several definitions and types of fractional derivatives exist in the literature,  
 507 which are not all equivalent, and our work uses only a sufficient statistical description of the microstructure  
 508 (the autocovariance function) that leads to the emergent fractional Laplacian operator. What is the role or  
 509 effect of higher-order statistical descriptors (like the 3-point correlation functions) on the fractional behavior  
 510 might be an interesting further question.

511 Several interesting directions emerge from this work. Future work could potentially build on works on  
 512 problems pertaining to defects or inclusions, c.f. [66], homogenization and metamaterials [67–69], archi-  
 513 tected and templated materials c.f. [70–72], surface energy-based size-effects [73–76], electro-magneto-  
 514 mechanical coupling [77, 78], among others. The existing framework is valid for small-contrast random  
 515 media, and an interesting future direction is to extend the framework to the high-contrast case, which can  
 516 be applicable, for example, to random media composed of conducting and insulating phases. A major  
 517 future direction, which we are working on, is extending the framework to dynamics. An effective fractional  
 518 acoustic wave equation was obtained for a 1D random multiscale medium that showed wave attenuation in  
 519 a prior work [79]. Within the Willis’ framework, considering materials with differing phase moduli and  
 520 phase densities could reveal exotic Willis coupling phenomena c.f. [80, 81]. The current work is based  
 521 on the framework limited to the linear case, and a different framework can be developed to incorporate  
 522 nonlinearity. We note some interesting progress on the nonlinear analysis of Eshelby’s inclusion problem  
 523 (which is foundational for many homogenization schemes) [82, 83]

524 Such extensions may reveal additional fractional calculus features and novel fractional size effects, thereby  
 525 further enriching our understanding of complex material behaviors and expanding the design possibilities  
 526 in architecturally engineered materials. The insights gained here open new avenues for tailoring material  
 527 responses through microstructural engineering, thereby advancing the engineering of complex, nonlocal  
 528 behaviors across a wide range of applications—from thin-film plasticity to seismic attenuation and the  
 529 biological sciences.

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## 535 **Appendix**

### 536 **A. Correlation functions**

537 The  $n$  phase random medium is drawn from a sample space  $\mathcal{P}(\alpha)$  with some probability measure  $p$ , and  
 538 the specific micro-geometry of any one sample depends on the parameter  $\alpha$ . The micro-geometry of a  
 539 particular realization  $\alpha$ , can be modeled by the indicator functions  $\mathcal{I}_i(\mathbf{x}; \alpha)$ , where  $i = 1, \dots, n$  denotes the  
 540 phase, and  $\mathcal{I}_i(\mathbf{x}; \alpha) = 1$  if  $\mathbf{x}$  lies in phase  $i$ , otherwise 0. In general, it is impossible to obtain  $\mathcal{I}_i(\mathbf{x}; \alpha)$  for  
 541 all possible realizations  $\alpha$  of the random medium, and for any meaningful analysis we need to use certain  
 542 "mean" quantities that can be realistically obtained. Here, the mean is the ensemble average taken over  
 543 possible realizations  $\alpha$ .

544 One such mean quantity is the one-point correlation function, also called as one-point probability  
545 function,  $S_1^{(i)}(\mathbf{x})$  defined for the phase  $i$  as,

$$S_1^{(i)}(\mathbf{x}) = \langle \mathcal{I}_i(\mathbf{x}; \alpha) \rangle = \int_{\mathcal{P}(\alpha)} \mathcal{I}_i(\mathbf{x}; \alpha) p(\mathrm{d}\alpha), \quad (\text{A1})$$

546 which gives the probability that phase  $i$  is at point  $\mathbf{x}$ . Similarly, we can define a 2-point probability function  
547  $S_2^{(i)}(\mathbf{x}_1, \mathbf{x}_2)$ , the probability of finding phase  $i$  at  $\mathbf{x}_1$  and  $\mathbf{x}_2$ , given by

$$S_2^{(i)}(\mathbf{x}_1, \mathbf{x}_2) = \langle \mathcal{I}_i(\mathbf{x}_1; \alpha) \mathcal{I}_i(\mathbf{x}_2; \alpha) \rangle. \quad (\text{A2})$$

548 A random medium is said to be statistically homogeneous if the probability functions do not depend  
549 on the absolute positions  $\mathbf{x}_1, \mathbf{x}_2, \dots$ , and instead depend only on the relative distances between the points,  
550 i.e., they are translation invariant,  $S_2^{(i)}(\mathbf{x}_1, \mathbf{x}_2) = S_2^{(i)}(x_{12})$ , where  $x_{12} = |\mathbf{x}_1 - \mathbf{x}_2|$ . For statistically  
551 homogeneous two-phase media, the one-point probability functions are constant and equal to the volume  
552 fraction of the phase,  $S_1^{(i)} = \phi_i$ .

553 For a two-phase random medium, the two point probability function for phase 1, can be expressed in  
554 terms of the probability functions for the phase 2. Specifically, we have,

$$S_2^{(1)}(\mathbf{x}_1, \mathbf{x}_2) = 1 - S_1^{(2)}(\mathbf{x}_1) - S_1^{(2)}(\mathbf{x}_2) + S_1^{(2)}(\mathbf{x}_1, \mathbf{x}_2), \quad (\text{A3})$$

555 and this result can be extended to  $n$ -point probability functions as well. The probability that phase 1 is at  
556  $\mathbf{x}_1$  and phase 2 at  $\mathbf{x}_2$  can be obtained by writing the 2-point probability function with "dissimilar ends"  
557  $S_2^{(12)}(\mathbf{x}_1, \mathbf{x}_2)$ ,

$$\begin{aligned} S_2^{(12)}(\mathbf{x}_1, \mathbf{x}_2) &= \langle \mathcal{I}_1(\mathbf{x}_1; \alpha) \mathcal{I}_2(\mathbf{x}_2; \alpha) \rangle \\ &= \langle \mathcal{I}_1(\mathbf{x}_1; \alpha) (1 - \mathcal{I}_1(\mathbf{x}_2; \alpha)) \rangle \\ &= S_1^{(1)}(\mathbf{x}) - S_2^{(1)}(\mathbf{x}_1, \mathbf{x}_2) \end{aligned} \quad (\text{A4})$$

558 The modulus of the random medium can be expressed using the indicator functions as,

$$\mathbf{L}(\mathbf{x}; \alpha) = \sum_i L_i \mathcal{I}_i(\mathbf{x}; \alpha), \quad \implies \quad \langle \mathbf{L} \rangle = \sum_i L_i S_1^{(i)}(\mathbf{x}). \quad (\text{A5})$$

559 A useful relation for an operator  $\Gamma(\mathbf{x}, \mathbf{x}')$  is,

$$\begin{aligned} &\langle \delta \mathbf{L}(\mathbf{x}; \alpha) \Gamma(\mathbf{x}, \mathbf{x}') \delta \mathbf{L}(\mathbf{x}'; \alpha) \rangle \\ &= \langle (\mathbf{L}(\mathbf{x}; \alpha) - \mathbf{L}_0) \Gamma(\mathbf{x}, \mathbf{x}') (\mathbf{L}(\mathbf{x}'; \alpha) - \mathbf{L}_0) \rangle \\ &= \langle (\mathbf{L}(\mathbf{x}; \alpha) \Gamma(\mathbf{x}, \mathbf{x}') \mathbf{L}(\mathbf{x}'; \alpha)) - \langle \mathbf{L}(\mathbf{x}; \alpha) \Gamma(\mathbf{x}, \mathbf{x}') \mathbf{L}_0 \rangle - \langle \mathbf{L}_0 \Gamma(\mathbf{x}, \mathbf{x}') \mathbf{L}(\mathbf{x}'; \alpha) \rangle + \langle \mathbf{L}_0 \Gamma(\mathbf{x}, \mathbf{x}') \mathbf{L}_0 \rangle \\ &= \langle (\mathbf{L}(\mathbf{x}; \alpha) \Gamma(\mathbf{x}, \mathbf{x}') \mathbf{L}(\mathbf{x}'; \alpha)) - \mathbf{L}_0 \Gamma(\mathbf{x}, \mathbf{x}') \mathbf{L}_0 - \mathbf{L}_0 \Gamma(\mathbf{x}, \mathbf{x}') \mathbf{L}_0 + \mathbf{L}_0 \Gamma(\mathbf{x}, \mathbf{x}') \mathbf{L}_0 \rangle \\ &= \langle (\mathbf{L}(\mathbf{x}; \alpha) \Gamma(\mathbf{x}, \mathbf{x}') \mathbf{L}(\mathbf{x}'; \alpha)) - \langle \mathbf{L} \rangle(\mathbf{x}) \Gamma(\mathbf{x}, \mathbf{x}') \langle \mathbf{L} \rangle(\mathbf{x}') \quad [\text{Since, } \mathbf{L}_0 = \langle \mathbf{L} \rangle]. \end{aligned} \quad (\text{A6})$$

560 Using (A2) and (A5) in the above yields,

$$\begin{aligned} \langle \delta \mathbf{L}(\mathbf{x}; \alpha) \mathbf{F}(\mathbf{x}, \mathbf{x}') \delta \mathbf{L}(\mathbf{x}'; \alpha) \rangle &= \sum_{i,j} L_i \mathbf{F}(\mathbf{x}, \mathbf{x}') L_j S_2^{(ij)}(\mathbf{x}, \mathbf{x}') - \sum_{i,j} L_i \mathbf{F}(\mathbf{x}, \mathbf{x}') L_j S_1^{(i)}(\mathbf{x}) S_1^{(j)}(\mathbf{x}') \\ &= \sum_{i,j} L_i \mathbf{F}(\mathbf{x}, \mathbf{x}') L_j \left( S_2^{(ij)}(\mathbf{x}, \mathbf{x}') - S_1^{(i)}(\mathbf{x}) S_1^{(j)}(\mathbf{x}') \right) \end{aligned} \quad (\text{A7})$$

561 For two-phase statistically homogeneous media, the autocovariance  $\chi(\mathbf{r})$  for phase 1 is defined as,

$$\begin{aligned} \chi(\mathbf{r}) &= \langle [\mathcal{I}_1(\mathbf{x}) - \phi_1][\mathcal{I}_1(\mathbf{x} + \mathbf{r}) - \phi_1] \rangle \\ &= \langle \mathcal{I}_1(\mathbf{x}) \mathcal{I}_1(\mathbf{x} + \mathbf{r}) - \phi_1 \mathcal{I}_1(\mathbf{x}) - \phi_1 \mathcal{I}_1(\mathbf{x} + \mathbf{r}) + \phi_1^2 \rangle \\ &= S_2^{(1)}(\mathbf{r}) - 2\phi_1^2 + \phi_1^2 \\ \implies \chi_1(\mathbf{r}) &= S_2^{(1)}(\mathbf{r}) - \phi_1^2. \end{aligned} \quad (\text{A8})$$

562 The autocovariance for phase 1 is the same as the autocovariance for phase 2, and this can be shown by  
563 continuing with the above relation as follows

$$\begin{aligned} \chi(\mathbf{r}) &= S_2^{(1)}(\mathbf{r}) - \phi_1^2 \\ &= 1 - S_1^{(2)}(\mathbf{x}) - S_1^{(2)}(\mathbf{x} + \mathbf{r}) + S_2^{(2)}(\mathbf{r}) - \phi_1^2 \\ &= 1 - 2\phi_2 + S_2^{(2)}(\mathbf{r}) - (1 - \phi_2)^2 \\ \implies \chi(\mathbf{r}) &= S_2^{(1)}(\mathbf{r}) - \phi_1^2 = S_2^{(2)}(\mathbf{r}) - \phi_2^2 \end{aligned} \quad (\text{A9})$$

564 For an exhaustive treatment of finding the statistical properties of inhomogeneous random media the  
565 reader is referred to the book by Torquato [56].

## 566 B. Fractional Laplace operators

### 567 1. Classical Laplace operators

568 For ease of notation, we consider odd-dimensional space  $\mathbb{R}^d$ , with  $d \geq 3$  and the standard Laplace equation  
569 for a source term  $f \in C_0(\mathbb{R}^d)$  with compact support (more generally, a tempered distribution):

$$-\Delta u = f. \quad (\text{B1})$$

570 Denote the Green's function by

$$G(\mathbf{x}) = \frac{\omega_d}{|\mathbf{x}|^{d-2}}, \quad \text{i.e.,} \quad -\Delta G(\mathbf{x}) = \delta(\mathbf{x}),$$

571 and its Fourier transformation by

$$\tilde{G}(\mathbf{k}) = \int_{\mathbb{R}^d} \frac{\omega_d}{|\mathbf{x}|^{d-2}} e^{-i\mathbf{k} \cdot \mathbf{x}} d\mathbf{x} \quad \Leftrightarrow \quad G(\mathbf{x}) = \int_{\mathbb{R}^d} \tilde{G}(\mathbf{k}) e^{i\mathbf{k} \cdot \mathbf{x}} \frac{d\mathbf{k}}{(2\pi)^d}. \quad (\text{B2})$$

572 Here, the constant  $\omega_d$  is the dimension-dependent normalization constant such that the Green's function  
573 satisfies the corresponding Laplace equation. We remark that the two transformation formulas in (B2) are  
574 formal since the integrals are not well-defined *per se*. Its precise interpretation is postponed.

575 The solution to (B1) can be written as

$$u(\mathbf{x}) = (-\Delta)^{-1} f(\mathbf{x}) = \int_{\mathbb{R}^d} G(\mathbf{x} - \mathbf{y}) f(\mathbf{y}) d\mathbf{y}. \quad (\text{B3})$$

576 The Fourier transformation of (B1) implies

$$\tilde{u}(\mathbf{k}) = \mathcal{F}[u(\mathbf{x})] = \mathcal{F}[(-\Delta)^{-1}f(\mathbf{x})] = \frac{\tilde{f}(\mathbf{k})}{|\mathbf{k}|^2}. \quad (\text{B4})$$

577 Meanwhile, by (B2)-(B3) and direct Fourier transformation we also have

$$\begin{aligned} \tilde{u}(\mathbf{k}) &= \int_{\mathbb{R}^d} \int_{\mathbb{R}^d} G(\mathbf{x} - \mathbf{y}) f(\mathbf{y}) e^{-i\mathbf{k} \cdot \mathbf{x}} d\mathbf{y} d\mathbf{x} \\ &= \int_{\mathbb{R}^d} \int_{\mathbb{R}^d} \int_{\mathbb{R}^d} \tilde{G}(\mathbf{k}') e^{i\mathbf{k}' \cdot (\mathbf{x} - \mathbf{y})} \frac{d\mathbf{k}'}{(2\pi)^d} f(\mathbf{y}) e^{-i\mathbf{k} \cdot \mathbf{x}} d\mathbf{y} d\mathbf{x} \\ &= \int_{\mathbb{R}^d} \int_{\mathbb{R}^d} \tilde{G}(\mathbf{k}') \int_{\mathbb{R}^d} e^{i(\mathbf{k}' - \mathbf{k}) \cdot \mathbf{x}} d\mathbf{x} f(\mathbf{y}) e^{-i\mathbf{k}' \cdot \mathbf{y}} \frac{d\mathbf{k}'}{(2\pi)^d} d\mathbf{y} \\ &= \int_{\mathbb{R}^d} \int_{\mathbb{R}^d} \tilde{G}(\mathbf{k}') \delta(\mathbf{k}' - \mathbf{k}) f(\mathbf{y}) e^{-i\mathbf{k}' \cdot \mathbf{y}} d\mathbf{k}' d\mathbf{y} \\ &= \int_{\mathbb{R}^d} \tilde{G}(\mathbf{k}) f(\mathbf{y}) e^{-i\mathbf{k} \cdot \mathbf{y}} d\mathbf{y} = \tilde{G}(\mathbf{k}) \tilde{f}(\mathbf{k}). \end{aligned} \quad (\text{B5})$$

578 Comparing (B4) with (B5), we may identify

$$\tilde{G}(\mathbf{k}) = \frac{1}{|\mathbf{k}|^2} = \int_{\mathbb{R}^d} \frac{\omega_d}{|\mathbf{x}|^{d-2}} e^{-i\mathbf{k} \cdot \mathbf{x}} d\mathbf{x} = \mathcal{F}\left[\frac{\omega_d}{|\mathbf{x}|^{d-2}}\right]. \quad (\text{B6})$$

579 From this viewpoint, the improperly defined Fourier transformation of the Green's function in (B6) can be  
580 interpreted as a linear transformation such that for any  $f \in C_0^\infty(\mathbb{R}^d)$ ,

$$\mathcal{F}^{-1}[\tilde{G}(\mathbf{k}) \tilde{f}(\mathbf{k})] = (-\Delta)^{-1} f(\mathbf{x}). \quad (\text{B7})$$

581 Indeed, the formal integral definition of  $\tilde{G}(\mathbf{k}) = \mathcal{F}[G(\mathbf{x})]$  in (B6) satisfies that for any  $\tilde{f} \in C_0^\infty(\mathbb{R}^d)$ ,

$$\begin{aligned} \mathcal{F}^{-1}[\tilde{G}(\mathbf{k}) \tilde{f}(\mathbf{k})] &= \int_{\mathbb{R}^d} \tilde{G}(\mathbf{k}) \tilde{f}(\mathbf{k}) e^{i\mathbf{k} \cdot \mathbf{x}} \frac{d\mathbf{k}}{(2\pi)^d} \\ &= \int_{\mathbb{R}^d} \int_{\mathbb{R}^d} \int_{\mathbb{R}^d} \frac{\omega_d}{|\mathbf{x}'|^{d-2}} e^{-i\mathbf{k} \cdot \mathbf{x}'} f(\mathbf{y}) e^{-i\mathbf{k} \cdot \mathbf{y}} e^{i\mathbf{k} \cdot \mathbf{x}} \frac{d\mathbf{k}}{(2\pi)^d} d\mathbf{y} d\mathbf{x}' \end{aligned} \quad (\text{B8})$$

582

$$\begin{aligned} &= \int_{\mathbb{R}^d} \int_{\mathbb{R}^d} \frac{\omega_d}{|\mathbf{x}'|^{d-2}} f(\mathbf{y}) \delta(\mathbf{y} + \mathbf{x}' - \mathbf{x}) d\mathbf{y} d\mathbf{x}' \\ &= \int_{\mathbb{R}^d} \frac{\omega_d}{|\mathbf{x} - \mathbf{y}|^{d-2}} f(\mathbf{y}) d\mathbf{y} \\ &= (-\Delta)^{-1} f(\mathbf{x}). \end{aligned}$$

583 Moreover, we consider second-order derivatives of  $u(\mathbf{x})$ :

$$u_{,ij}(\mathbf{x}) = \int_{\mathbb{R}^d} G_{,ij}(\mathbf{x} - \mathbf{y}) f(\mathbf{y}) d\mathbf{y} = \int_{\mathbb{R}^d} |\mathbf{x} - \mathbf{y}|^{-d} \mathcal{G}_{ij}^{(1)}\left(\frac{\mathbf{x} - \mathbf{y}}{|\mathbf{x} - \mathbf{y}|}\right) f(\mathbf{y}) d\mathbf{y}. \quad (\text{B9})$$

584 The Fourier transformation of  $u_{,ij}(\mathbf{x})$  is given by

$$\mathcal{F}[u_{,ij}(\mathbf{x})] = -\hat{k}_i \hat{k}_j \tilde{f}(\mathbf{k}) = \mathcal{F}[|\mathbf{z}|^{-d} \mathcal{G}_{ij}^{(1)}(\hat{\mathbf{z}})](\mathbf{k}) \tilde{f}(\mathbf{k}).$$

585 That is,

$$\widetilde{G}_{,ij}(\mathbf{k}) = \mathcal{F}[|\mathbf{x}|^{-d}\mathcal{G}_{,ij}^{(1)}(\hat{\mathbf{x}})] = -\hat{k}_i\hat{k}_j \quad \Leftrightarrow \quad \mathcal{F}^{-1}[-\hat{k}_i\hat{k}_j] = G_{,ij}(\mathbf{x}). \quad (\text{B10})$$

586 Further, we consider  $p$ -Laplace equation for some integer  $p > 0$ :

$$(-\Delta)^p u = f$$

587 The Green's function is identified as

$$G^{(p)}(\mathbf{x}) = \frac{\omega_d^{(p)}}{|\mathbf{x}|^{d-2p}}, \quad \text{i.e.,} \quad (-\Delta)^p G^{(p)}(\mathbf{x}) = \delta(\mathbf{x}),$$

588 and its Fourier transformation by

$$\widetilde{G}^{(p)}(\mathbf{k}) = \int_{\mathbb{R}^d} \frac{\omega_d^{(p)}}{|\mathbf{x}|^{d-2p}} e^{-i\mathbf{k}\cdot\mathbf{x}} d\mathbf{x} \quad \Leftrightarrow \quad G^{(p)}(\mathbf{x}) = \int_{\mathbb{R}^d} \widetilde{G}^{(p)}(\mathbf{k}) e^{i\mathbf{k}\cdot\mathbf{x}} \frac{d\mathbf{k}}{(2\pi)^d}. \quad (\text{B11})$$

589 Denote  $2p$ -order derivatives of the Green's function by

$$G_{,i_1 i_2 \dots i_{2p}}^{(p)}(\mathbf{x}) = \frac{\partial^{2p}}{\partial x_{i_1} \dots \partial x_{i_{2p}}} G^{(p)}(\mathbf{x}) = |\mathbf{x}|^{-d} \mathcal{G}_{,i_1 i_2 \dots i_{2p}}^{(p)}(\hat{\mathbf{x}}) \quad \left(\hat{\mathbf{x}} = \frac{\mathbf{x}}{|\mathbf{x}|}\right).$$

590 Analogous to (B10), we conclude that

$$\begin{aligned} \widetilde{G}_{,i_1 i_2 \dots i_{2p}}^{(p)}(\mathbf{k}) &= \mathcal{F}[|\mathbf{x}|^{-d} \mathcal{G}_{,i_1 i_2 \dots i_{2p}}^{(p)}(\hat{\mathbf{x}})] = (-1)^p \hat{k}_{i_1} \hat{k}_{i_2} \dots \hat{k}_{i_{2p}} \quad \Leftrightarrow \\ \mathcal{F}^{-1}[(-1)^p \hat{k}_{i_1} \hat{k}_{i_2} \dots \hat{k}_{i_{2p}}] &= G_{,i_1 i_2 \dots i_{2p}}^{(p)}(\mathbf{x}) = |\mathbf{x}|^{-d} \mathcal{G}_{,i_1 i_2 \dots i_{2p}}^{(p)}(\hat{\mathbf{x}}). \end{aligned} \quad (\text{B12})$$

## 591 2. Fractional Laplace operators

592 For  $s \in (0, 1)$ , we interpret the fractional Laplace operators  $(-\Delta)^s$  and  $(-\Delta)^{-s}$  in terms of fractional  
593 convolution with power-law kernels, generalizing the integer-order case. Let  $u, f \in C_0^\infty(\mathbb{R}^d)$  be smooth  
594 functions with all of its derivatives converging to zero at infinity (more precisely, a tempered distribution).  
595 For  $s \in (0, 1)$ , the positive and negative fractional Laplace operators are defined as

$$\begin{aligned} (-\Delta)^s u(\mathbf{x}) &= C(d, s) \text{P.V.} \int_{\mathbb{R}^d} \frac{u(\mathbf{x}) - u(\mathbf{y})}{|\mathbf{x} - \mathbf{y}|^{d+2s}} d\mathbf{y}, \\ (-\Delta)^{-s} f(\mathbf{x}) &= B(d, s) \int_{\mathbb{R}^d} \frac{f(\mathbf{y})}{|\mathbf{x} - \mathbf{y}|^{d-2s}} d\mathbf{y}, \end{aligned} \quad (\text{B13})$$

596 where the principal value and constants  $C(d, s)$  and  $B(d, s)$  are defined as

$$\begin{aligned} \text{P.V.} \int_{\mathbb{R}^d} \frac{u(\mathbf{x}) - u(\mathbf{y})}{|\mathbf{x} - \mathbf{y}|^{d+2s}} d\mathbf{y} &= \lim_{\varepsilon \rightarrow 0} \int_{\mathbb{R}^d \setminus B_\varepsilon(\mathbf{x})} \frac{u(\mathbf{x}) - u(\mathbf{y})}{|\mathbf{x} - \mathbf{y}|^{d+2s}} d\mathbf{y}, \\ C(d, s) &= \left( \int_{\mathbb{R}^d} \frac{1 - \cos z_1}{|z|^{d+2s}} dz \right)^{-1}, \quad B(d, s) = \left( \int_{\mathbb{R}^d} \frac{\cos z_1}{|z|^{d-2s}} dz \right)^{-1}. \end{aligned} \quad (\text{B14})$$

597 Fractional Laplace operators enjoy some neat properties as the usual Laplacian  $-\Delta$  or inverse Laplacian  
598  $(-\Delta)^{-1}$ . In particular, we have

$$\lim_{s \rightarrow 1^-} (-\Delta)^s u(\mathbf{x}) = -\Delta u(\mathbf{x}), \quad \lim_{s \rightarrow 0^+} (-\Delta)^s u(\mathbf{x}) = u(\mathbf{x}),$$

$$\lim_{s \rightarrow 1^-} (-\Delta)^{-s} f(\mathbf{x}) = -\Delta^{-1} f(\mathbf{x}), \quad \lim_{s \rightarrow 0^+} (-\Delta)^{-s} f(\mathbf{x}) = f(\mathbf{x}).$$

599 We remark that the fractional Laplace operators defined in (B13) involve nonlocal integral operators with  
600 singular kernels of the form  $|\mathbf{x} - \mathbf{y}|^{-d-2s}$  for the positive fractional Laplacian and  $|\mathbf{x} - \mathbf{y}|^{-d+2s}$  for the  
601 inverse operator. These kernels generalize the classical Green's function for Laplacian ( $G^{(1)}(\mathbf{x}) \propto |\mathbf{x}|^{-d+2}$ ).  
602 Further, we verify that  $\forall s \in (0, 1)$ ,

$$\begin{aligned} (-\Delta)^{-s} (-\Delta)^s u(\mathbf{x}) &= u(\mathbf{x}), \\ (-\Delta)^s (-\Delta)^{-s} f(\mathbf{x}) &= f(\mathbf{x}). \end{aligned} \tag{B15}$$

603 To see this, we notice that, upon a change of variables  $\mathbf{y} \rightarrow \mathbf{z} = \mathbf{y} - \mathbf{x}$  or  $\mathbf{y} \rightarrow \mathbf{z} = \mathbf{x} - \mathbf{y}$ ,

$$\int_{\mathbb{R}^d} \frac{u(\mathbf{x}) - u(\mathbf{y})}{|\mathbf{x} - \mathbf{y}|^{d+2s}} d\mathbf{y} = \int_{\mathbb{R}^d} \frac{u(\mathbf{x}) - u(\mathbf{x} + \mathbf{z})}{|\mathbf{z}|^{d+2s}} dz = \int_{\mathbb{R}^d} \frac{u(\mathbf{x}) - u(\mathbf{x} - \mathbf{z})}{|\mathbf{z}|^{d+2s}} dz.$$

604 Therefore, we can alternatively define positive fractional Laplace operators as

$$(-\Delta)^s u(\mathbf{x}) = -\frac{C(d, s)}{2} \int_{\mathbb{R}^d} \frac{u(\mathbf{x} + \mathbf{z}) + u(\mathbf{x} - \mathbf{z}) - 2u(\mathbf{x})}{|\mathbf{z}|^{d+2s}} dz. \tag{B16}$$

605 By Fourier transformation, the above equation implies

$$\begin{aligned} \mathcal{F}[(-\Delta)^s u] &= -\frac{C(d, s)}{2} \int_{\mathbb{R}^d} \frac{\mathcal{F}[u(\mathbf{x} + \mathbf{z}) + u(\mathbf{x} - \mathbf{z}) - 2u(\mathbf{x})]}{|\mathbf{z}|^{d+2s}} dz \\ &= C(d, s) \left[ \int_{\mathbb{R}^d} \frac{1 - \cos \mathbf{k} \cdot \mathbf{z}}{|\mathbf{z}|^{d+2s}} dz \right] \mathcal{F}[u] \\ &= |\mathbf{k}|^{2s} \mathcal{F}[u]. \end{aligned} \tag{B17}$$

606 Similarly, we consider Fourier transformation of (B13)<sub>2</sub> and obtain

$$\begin{aligned} \mathcal{F}[(-\Delta)^{-s} f] &= \frac{B(d, s)}{2} \int_{\mathbb{R}^d} \frac{\mathcal{F}[f(\mathbf{x} + \mathbf{z}) + f(\mathbf{x} - \mathbf{z})]}{|\mathbf{z}|^{d-2s}} dz \\ &= B(d, s) \left[ \int_{\mathbb{R}^d} \frac{\cos \mathbf{k} \cdot \mathbf{z}}{|\mathbf{z}|^{d-2s}} dz \right] \mathcal{F}[f] \\ &= |\mathbf{k}|^{-2s} \mathcal{F}[f]. \end{aligned} \tag{B18}$$

607 By (B17) and (B18), we have

$$\mathcal{F}[(-\Delta)^{-s} (-\Delta)^s u] = |\mathbf{k}|^{-2s} \mathcal{F}[(-\Delta)^s u] = \mathcal{F}[u],$$

608 which completes the proof of (B15). For  $s_1, s_2 > 0$ ,

$$(-\Delta)^{-s_1} (-\Delta)^{-s_2} u = (-\Delta)^{-(s_1+s_2)} u,$$

609 since

$$\mathcal{F}[(-\Delta)^{-s_1} (-\Delta)^{-s_2} u] = |\mathbf{k}|^{-2s_1} \mathcal{F}[(-\Delta)^{-s_2} u] = |\mathbf{k}|^{-2(s_1+s_2)} \mathcal{F}[u]. \tag{B19}$$

610 Fractional Laplacian operators are self-adjoint and positive definite in the sense that ( $f := (-\Delta)^s u$ )

$$\begin{aligned} \int_{\mathbb{R}^d} u(-\Delta)^s u &= \int_{\mathbb{R}^d} |(-\Delta)^{s/2} u|^2 = \frac{C(d, s)}{2} \int_{\mathbb{R}^d} \int_{\mathbb{R}^d} \frac{|u(\mathbf{x}) - u(\mathbf{y})|^2}{|\mathbf{x} - \mathbf{y}|^{d+2s}} d\mathbf{y} d\mathbf{x} \\ &= \int_{\mathbb{R}^d} f(-\Delta)^{-s} f = \int_{\mathbb{R}^d} |(-\Delta)^{-s/2} f|^2 = B(d, s) \int_{\mathbb{R}^d} \int_{\mathbb{R}^d} \frac{f(\mathbf{x})f(\mathbf{y})}{|\mathbf{x} - \mathbf{y}|^{d-2s}} d\mathbf{y} d\mathbf{x}. \end{aligned} \quad (\text{B20})$$

611 To see this, by Plancherel's formula we have

$$\begin{aligned} \int_{\mathbb{R}^d} u(-\Delta)^s u d\mathbf{x} &= C(d, s) \int_{\mathbb{R}^d} u(\mathbf{x}) \int_{\mathbb{R}^d} \frac{u(\mathbf{x}) - u(\mathbf{y})}{|\mathbf{x} - \mathbf{y}|^{d+2s}} d\mathbf{y} d\mathbf{x} \\ &= \int_{\mathbb{R}^d} \mathcal{F}[u] \mathcal{F}[(-\Delta)^s u] d\mathbf{k} = \int_{\mathbb{R}^d} |\mathbf{k}|^{2s} |\mathcal{F}[u]|^2 d\mathbf{k} \\ &= \int_{\mathbb{R}^d} \left| |\mathbf{k}|^{2\frac{s}{2}} \mathcal{F}[u] \right|^2 d\mathbf{k} = \int_{\mathbb{R}^d} |(-\Delta)^{s/2} u|^2 d\mathbf{x}, \end{aligned} \quad (\text{B21})$$

612 and

$$\begin{aligned} C(d, s) \int_{\mathbb{R}^d} \int_{\mathbb{R}^d} \frac{|u(\mathbf{x}) - u(\mathbf{y})|^2}{|\mathbf{x} - \mathbf{y}|^{d+2s}} d\mathbf{y} d\mathbf{x} &= C(d, s) \int_{\mathbb{R}^d} \int_{\mathbb{R}^d} \frac{1}{|\mathbf{z}|^{d+2s}} \left( u(\mathbf{z} + \mathbf{x}) - u(\mathbf{x}) \right)^2 d\mathbf{x} d\mathbf{z} \\ &= C(d, s) \int_{\mathbb{R}^d} \frac{1}{|\mathbf{z}|^{d+2s}} \int_{\mathbb{R}^d} \left( \mathcal{F}[u(\mathbf{z} + \mathbf{x}) - u(\mathbf{x})](\mathbf{k}) \right)^2 d\mathbf{k} d\mathbf{z} \\ &= 2C(d, s) \int_{\mathbb{R}^d} \int_{\mathbb{R}^d} \frac{1 - \cos \mathbf{k} \cdot \mathbf{z}}{|\mathbf{z}|^{d+2s}} \left( \mathcal{F}[u] \right)^2 d\mathbf{z} d\mathbf{k} \\ &= 2 \int_{\mathbb{R}^d} |\mathbf{k}|^{2s} \left( \mathcal{F}[u] \right)^2 d\mathbf{k} = 2 \int_{\mathbb{R}^d} |(-\Delta)^{s/2} u|^2 d\mathbf{x}. \end{aligned} \quad (\text{B22})$$

613 For a general positive fraction  $p = m + s$  for some positive integer  $m$  and  $s \in (0, 1)$ , the fractional  
614 Laplacian  $(-\Delta)^p$  can be defined as

$$(-\Delta)^p u = (-\Delta)^{m+s} u = (-\Delta)^s (-\Delta)^m u = (-\Delta)^m (-\Delta)^s u. \quad (\text{B23})$$

615 From the Fourier relation discussed above, we see that the operator  $(-\Delta)^p$  is a Fourier multiplier with  
616 symbol  $|\mathbf{k}|^{2p}$ :

$$\mathcal{F}[(-\Delta)^p u](\mathbf{k}) = |\mathbf{k}|^{2p} \mathcal{F}[u](\mathbf{k}). \quad (\text{B24})$$

617 Also, if  $(-\Delta)^p u = f$ , the solution  $u$  can be formally represented by the Green's function (a fractional  
618 power-law kernel):

$$u(\mathbf{x}) = (-\Delta)^{-p} f(\mathbf{x}) = B(d, p) \int_{\mathbb{R}^d} \frac{f(\mathbf{y})}{|\mathbf{x} - \mathbf{y}|^{d-2p}} d\mathbf{y}. \quad (\text{B25})$$

### 619 3. Derivatives of Fractional Green's Functions

620 We now consider the generalization of the classical results in (B10) and (B12) to fractional Laplacians defined  
621 by (B23)-(B25). Specifically, we aim to characterize the Fourier symbols of derivatives of the fundamental  
622 solution  $G^{(p)}(\mathbf{x})$  to the fractional Laplace equation  $(-\Delta)^p u = f$ , where  $p = m + s$ ,  $s \in (0, 1)$ ,  $m = \lfloor p \rfloor$ .  
623 The resulting formulas provide a unified perspective on fractional differentiation as a convolution with  
624 singular power-law kernels in real space or as a polynomial multiplier operator in Fourier space.

625 For  $p = m + s$ ,  $s \in (0, 1)$ ,  $m = \lfloor p \rfloor$ , the Green's function (so that,  $(-\Delta)^p G^{(p)}(\mathbf{x}) = \delta(\mathbf{x})$ ) and the

626 corresponding Fourier symbol are given by (B24)-(B25):

$$G^{(p)}(\mathbf{x}) = \frac{B(d, p)}{|\mathbf{x}|^{d-2p}} \quad \text{and} \quad \widetilde{G^{(p)}}(\mathbf{k}) = \mathcal{F}[G^{(p)}(\mathbf{x})] = |\mathbf{k}|^{-2p} \quad (\text{B26})$$

627 Just as in the integer-order case, we identify the Fourier transformation of the  $2m^{\text{th}}$ -order partial derivatives  
628 of the Green's function as:

$$\widetilde{G_{,i_1 \dots i_{2m}}^{(p)}}(\mathbf{k}) = \mathcal{F}[G_{,i_1 \dots i_{2m}}^{(p)}(\mathbf{x})] = \mathcal{F}[|\mathbf{x}|^{-d+2s} \mathcal{G}_{i_1 \dots i_{2m}}^{(p)}(\tilde{\mathbf{x}})] = (-1)^m \hat{k}_{i_1} \dots \hat{k}_{i_{2m}} |\mathbf{k}|^{-2s} \quad (\text{B27})$$

629 where

$$\mathcal{G}_{i_1 \dots i_{2m}}^{(p)}(\tilde{\mathbf{x}}) = |\mathbf{x}|^{d-2s} G_{,i_1 \dots i_{2m}}^{(p)}(\mathbf{x}),$$

630 is a smooth tensor-valued function on the unit sphere.

631 **Summary: Operator-Kernel-Fourier symbol Correspondence** ( $s \in (0, 1), m \in \mathbb{Z}_{\geq}, p = m +$   
632  $s, * - \text{convolution}$ )

Operator	Real Space Operation	Fourier Space multiplier
$(-\Delta)^m$	Local differentiation	$ \mathbf{k} ^{2m}$
$(-\Delta)^{-m}$	$*G^{(m)}(\mathbf{x}) \propto  \mathbf{x} ^{2m-d}$	$ \mathbf{k} ^{-2m}$
$\nabla^{2m}(-\Delta)^{-m}$	$* \mathbf{x} ^{-d} \mathcal{G}_{i_1 \dots i_{2m}}^{(m)}(\hat{\mathbf{x}})$	$\hat{k}_{i_1} \dots \hat{k}_{i_{2m}}$
$(-\Delta)^s$	$\sim \int \frac{u(\mathbf{x}) - u(\mathbf{y})}{ \mathbf{x} - \mathbf{y} ^{d+2s}} d\mathbf{y}$	$ \mathbf{k} ^{2s}$
$(-\Delta)^{-s}$	$*G^{(s)}(\mathbf{x}) \propto  \mathbf{x} ^{2s-d}$	$ \mathbf{k} ^{-2s}$
$(-\Delta)^p$	$\sim (-\Delta)^m (-\Delta)^s$	$ \mathbf{k} ^{2p}$
$(-\Delta)^{-p}$	$*G^{(p)}(\mathbf{x}) \propto  \mathbf{x} ^{2p-d}$	$ \mathbf{k} ^{-2p}$
$\nabla^{2m}(-\Delta)^{-p}$	$* \mathbf{x} ^{-d+2s} \mathcal{G}_{i_1 \dots i_{2m}}^{(p)}(\hat{\mathbf{x}})$	$(-1)^m \hat{k}_{i_1} \dots \hat{k}_{i_{2s}}  \mathbf{k} ^{-2s}$

634 **Conclusion:** The power-law kernels appearing in the fractional Laplacian and its inverse are direct general-  
635 izations of the classical Green's functions for  $-\Delta$ , and their Fourier transforms provide consistent extensions  
636 of the standard multiplier operators  $|\mathbf{k}|^{\pm 2m}$  to fractional powers  $|\mathbf{k}|^{\pm 2p}$ . This formal extension establishes  
637 relations between fractional Laplacians and their derivatives, convolutions with power-law kernels in real  
638 space, and polynomial multipliers in Fourier space. While classical derivatives act locally with integer-power  
639 kernels, fractional operators are inherently nonlocal, and their kernels are fractional power-laws. Rigorous  
640 justification can be achieved by calculations similar to (B7)-(B8) and careful interpretation of improper  
641 integrals as in (B13).

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